

# **A Draft Version of the Neandertal Genome**

**Svante Pääbo**

**Max Planck Institute for Evolutionary Anthropology  
Leipzig, Germany**

# **The Neandertal Genome Project**

**Croatian Academy of Sciences and Arts  
Berlin-Brandenburg Academy of Sciences  
The Max Planck Society**

***454 Life Sciences/Roche  
Branford, CT, USA***

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Pavao Rudan  
Zeljko Kucan  
Ivan Gusic

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## Max Planck Institute for Evolutionary Anthropology Leipzig

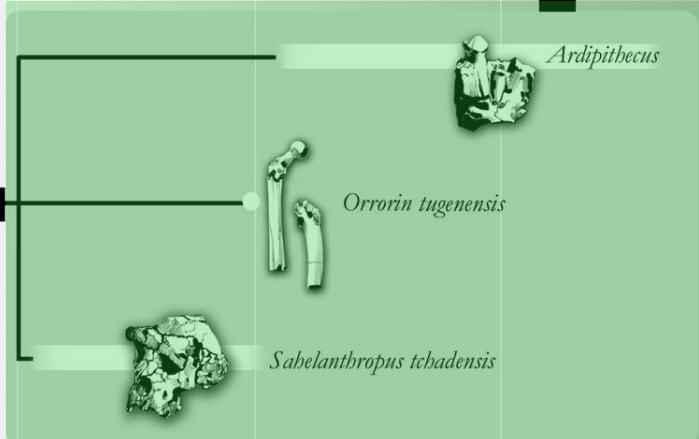




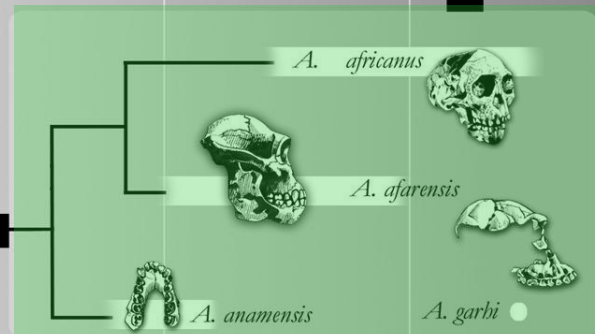




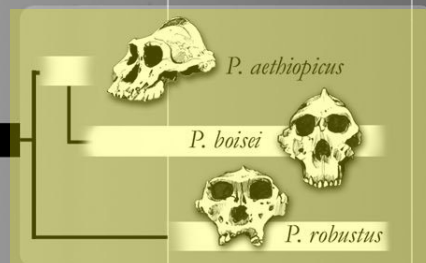
## PREMIERS HOMININES



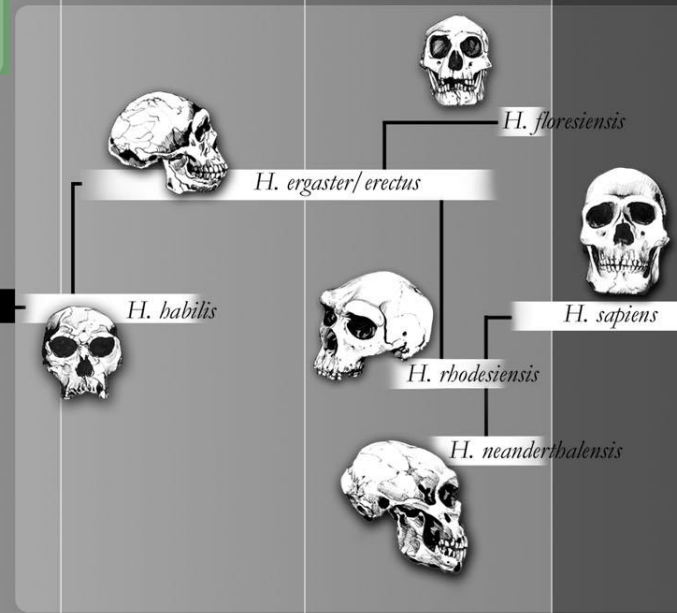
## AUSTRALOPITHECUS



## PARANTHROPUS



## HOMO



M.a = MILLIONS D'ANNEES

-7 M.a

-6 M.a

-5 M.a

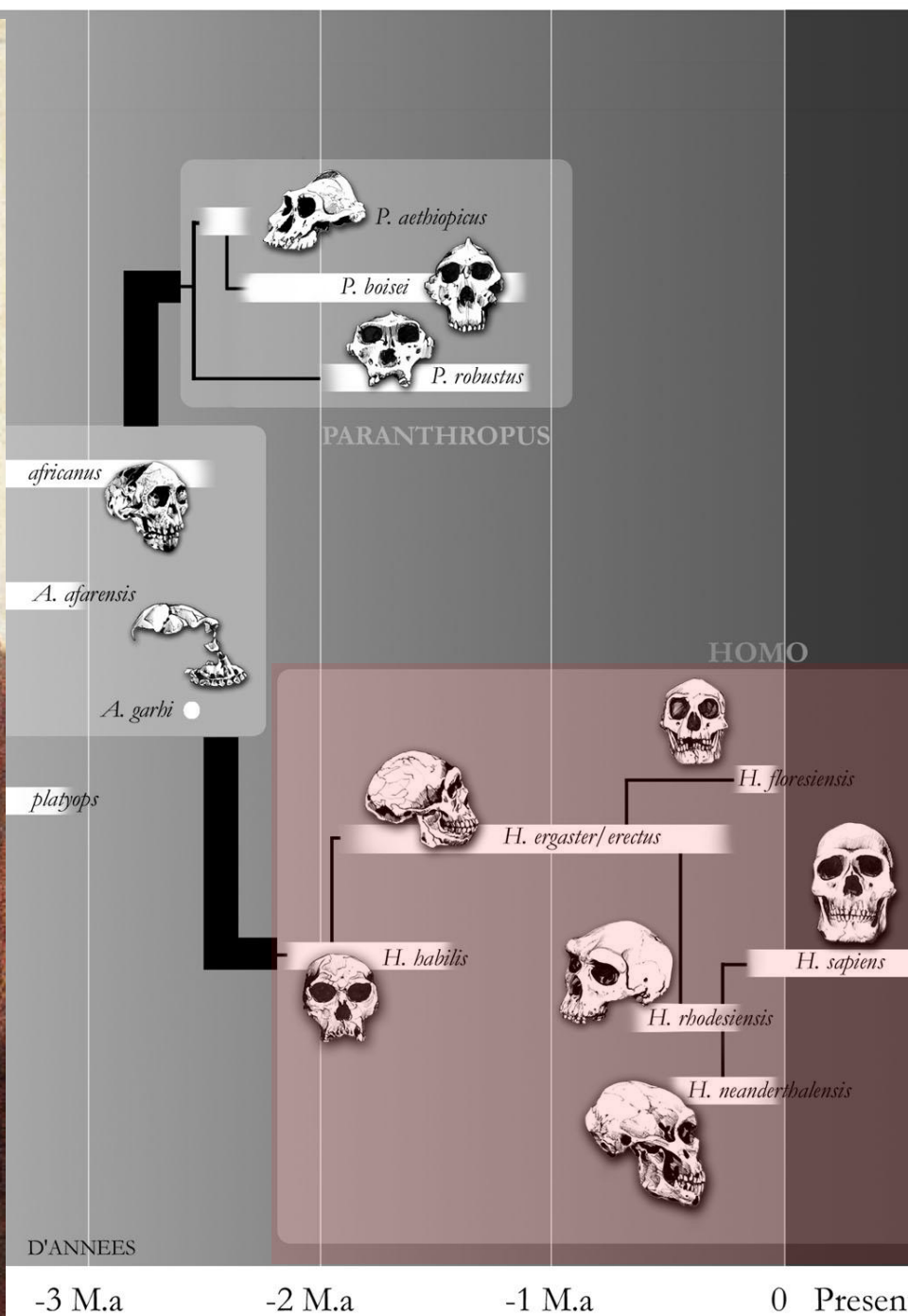
-4 M.a

-3 M.a

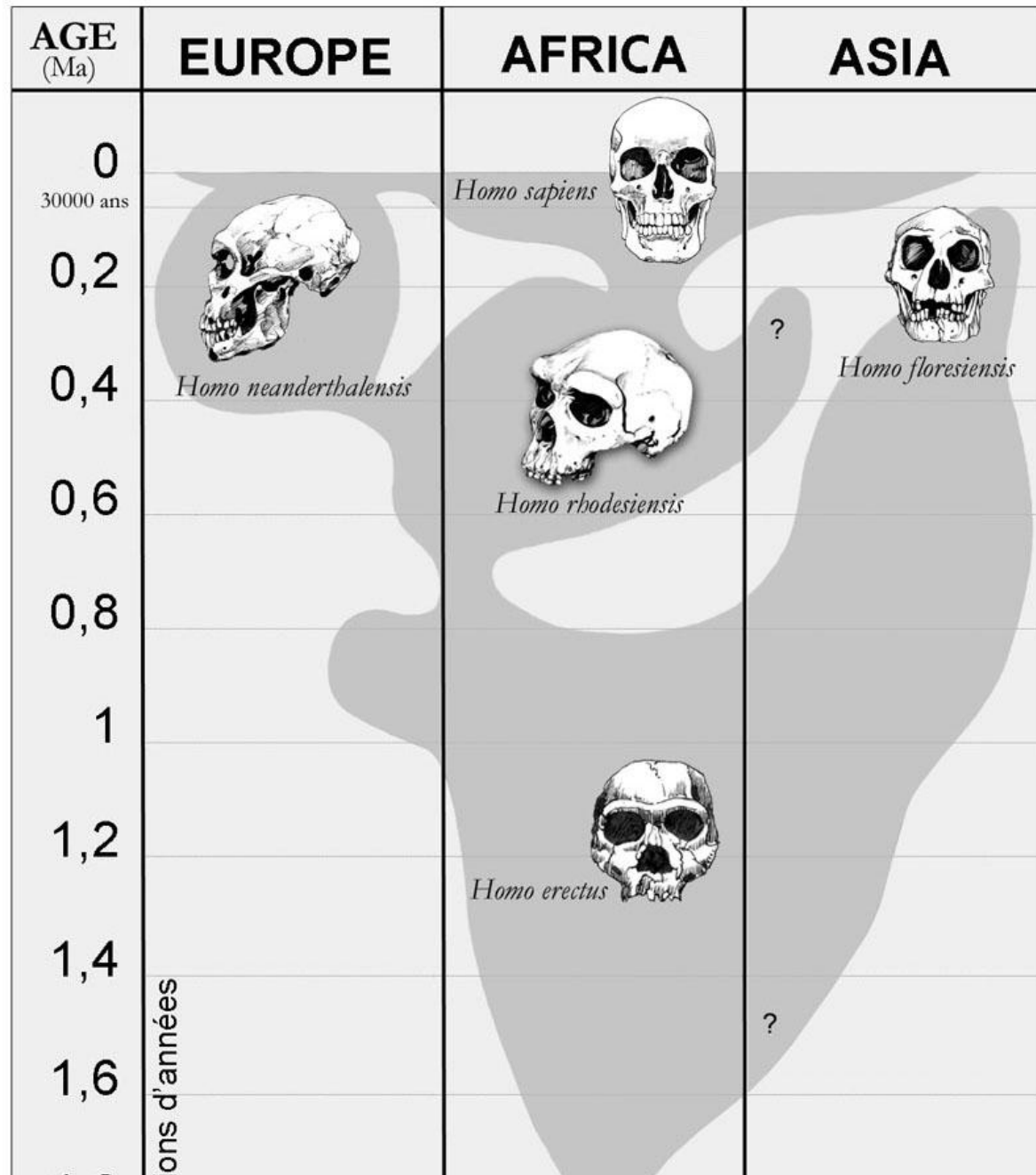
-2 M.a

-1 M.a

0 Present











## Neandertals geographical distribution





## Neandertals / Homo sapiens (separation ca 0.5 my BP)







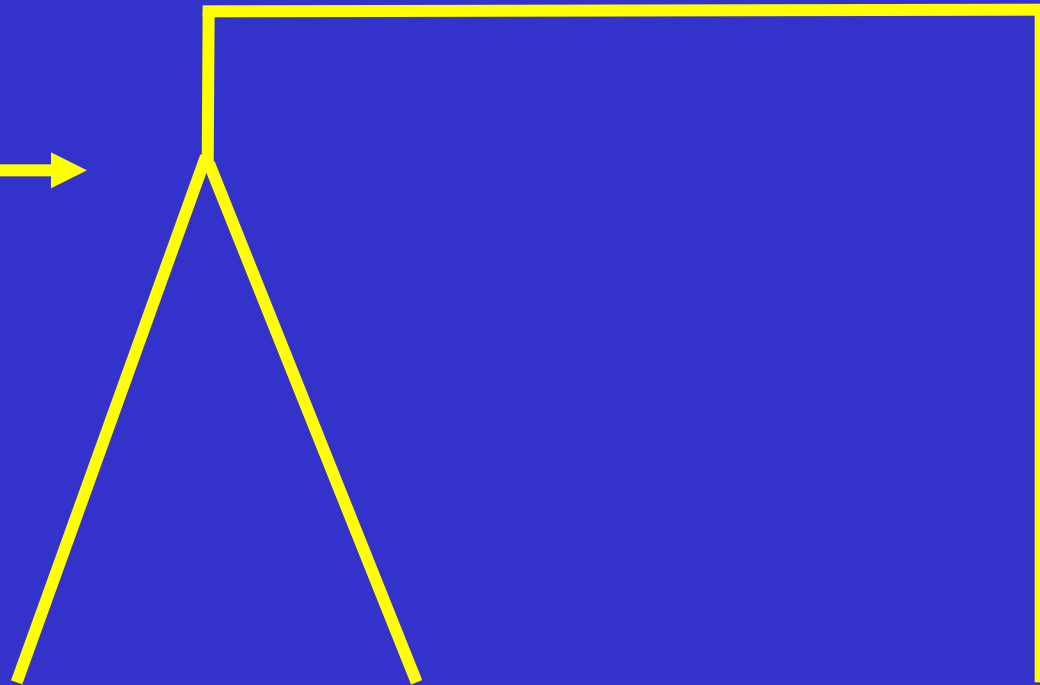
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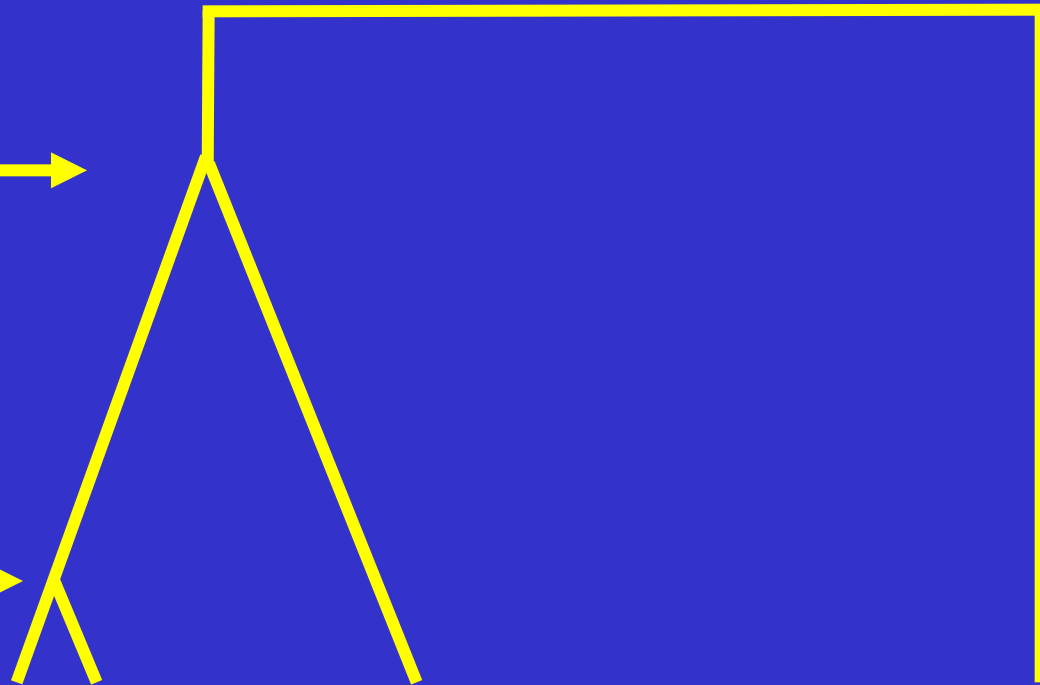
~5-7 Myr



~5-7 Myr



~0.3 Myr



~5-7 Myr →

Catalogue of changes  
Positive selection

~0.3 Myr →











Vindija Cave, Croatia



**Vi 33.16 (Vi 80); AMS date: 38,310  $\pm$  2,130 BP**





**~200 DNA extracts from 70 fossils  
from 16 sites**







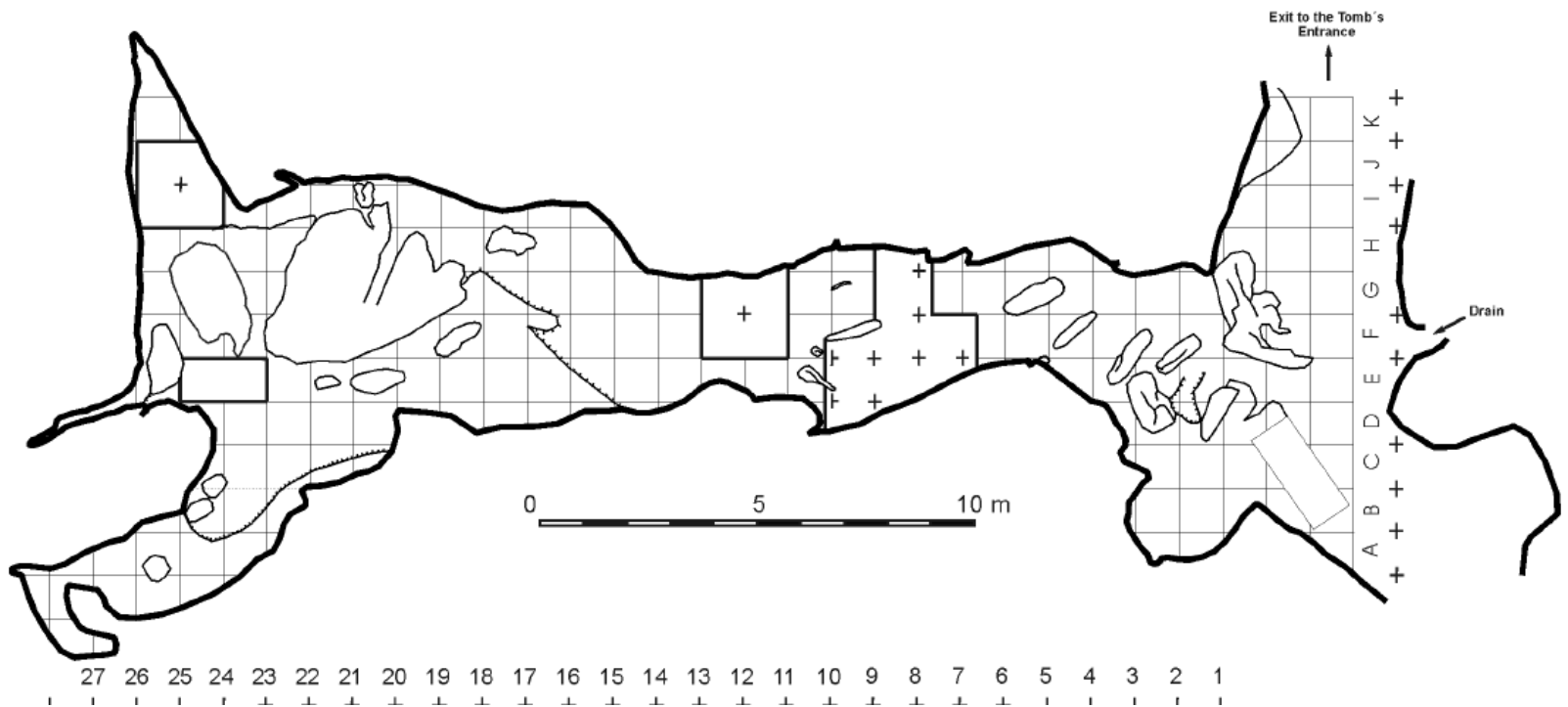
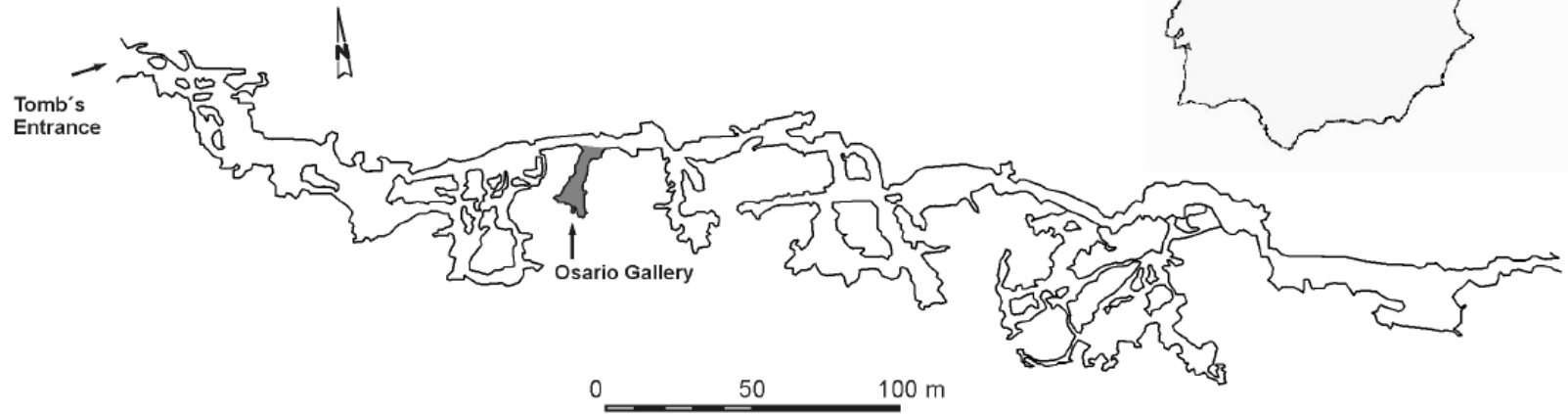




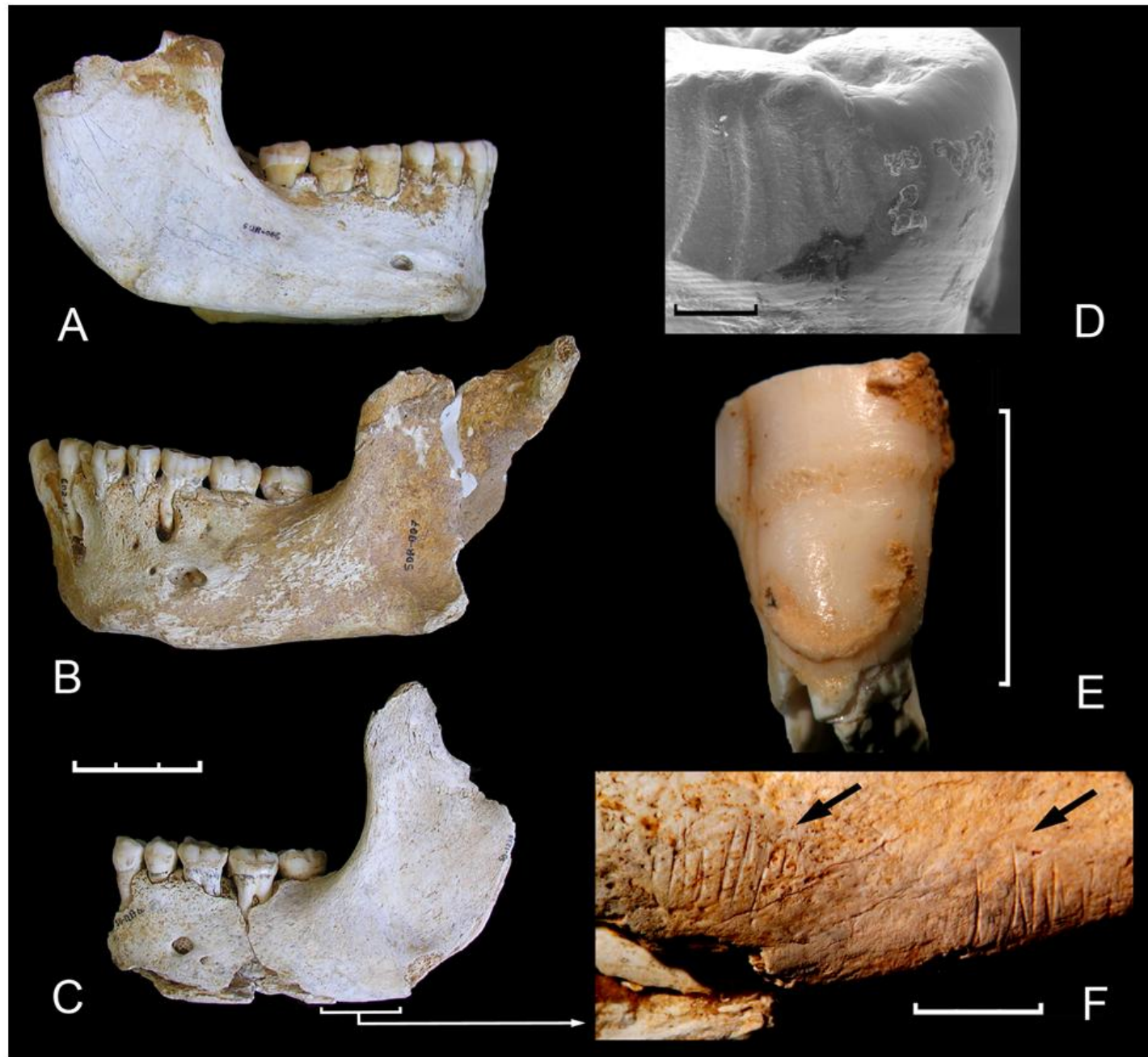
# El Sidron, Asturias, Spain



# EL SIDRÓN (ASTURIAS, SPAIN)







43,129 $\pm$ 464 BP (cal.)

From Rosas *et al.*, PNAS 2006.



**Sidron 1253**







**Sidron 1253**









**Feldhofer, Neandertal,  
Germany**

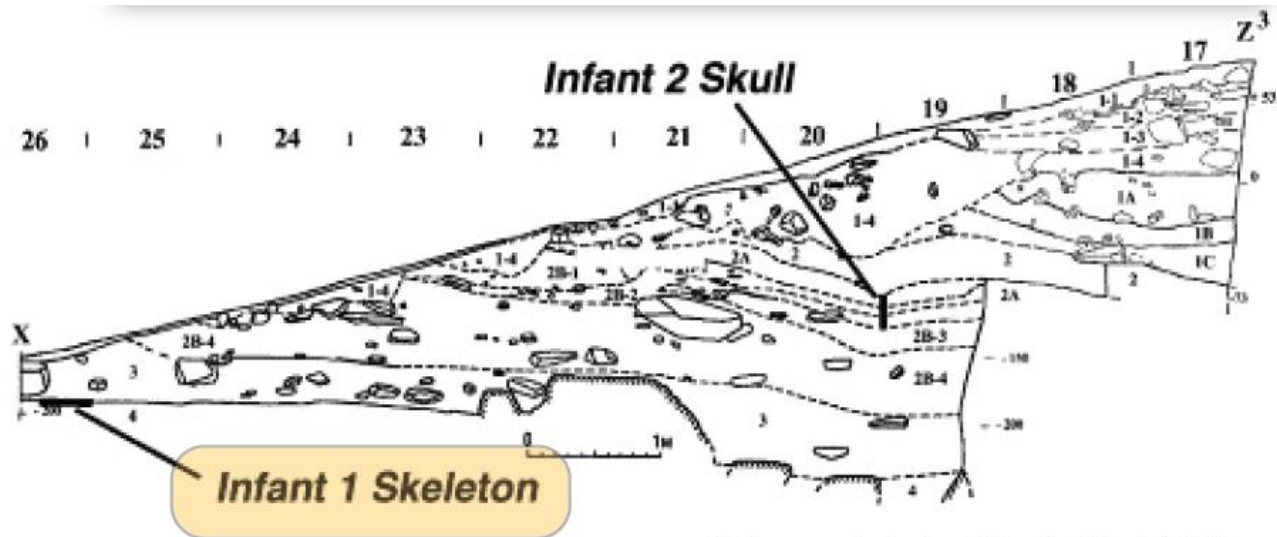
**~41,000 yrs B.P.**





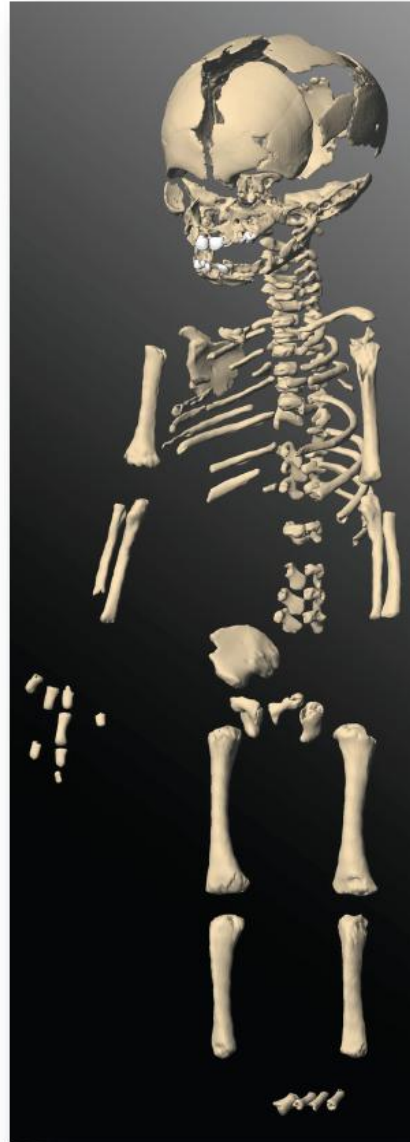






Skinner et al., *Appl. Radiat. Isot.*, 2005

60,000 – 70,000 BP



Ponce de León et al., 2008, PNAS



# The Neandertal Genome Effort

a collaboration between the Croatian Academy of Sciences and Arts, the Berlin-Brandenburg Academy of Sciences  
and Humanities and the Max Planck Institute for Evolutionary Anthropology identified Neanderthal bones from  
Vindija Cave, Croatia, to be of Neandertal origin through DNA analysis performed in 2008



VI-11.34



VI-33.10



VI-33.11



VI-33.15



VI-33.17



VI-33.19



VI-33.20



VI-33.22



VI-33.23



VI-33.16



VI-33.25



VI-33.26



VI-33.28



VI-33.29



VI-33.30



VI-33.31



VI-33.36

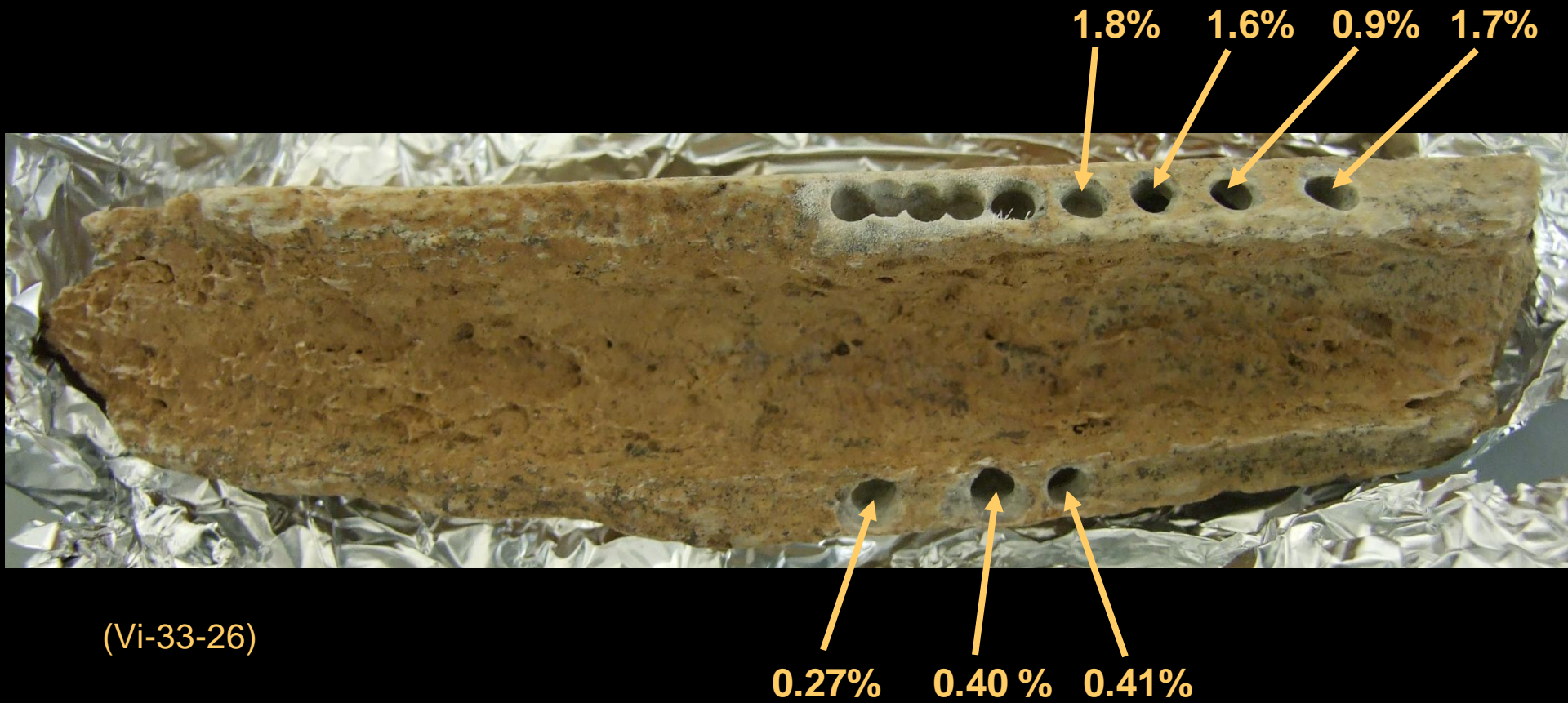


VI-33.38



VI-33.39

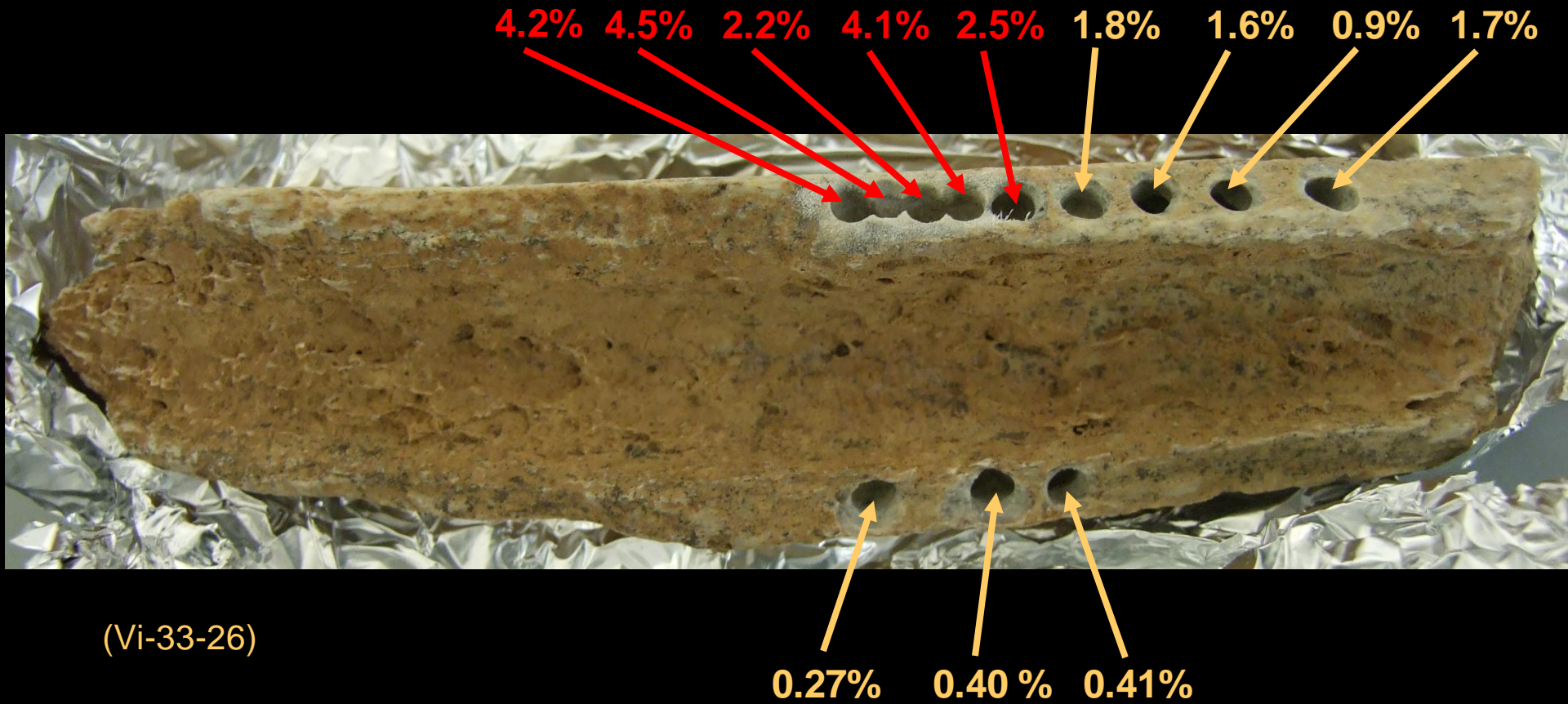
# Percent Hominid DNA



(Vi-33-26)



# Percent Hominid DNA



# Technical developments



# Technical developments

**Maximization of yields**

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**Amplification of sequencing libraries**

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**Amplification of sequencing libraries**

**Elimination of human contamination**



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**Understanding chemical modifications**

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**Maximization of yields**

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**Elimination of human contamination**

**Understanding chemical modifications**

**Improved comparison to human genome**

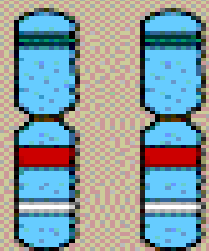
**Better sequencing technology**



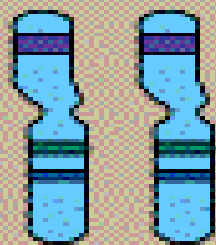
<b>Vindija</b>	<b>~ 3,700Mb</b>
Type specimen	~ 2Mb
El Sidron	~ 5Mb
Mezmaiskaya	~ 20Mb

**~ 1.2-fold coverage of the Neandertal Genome**

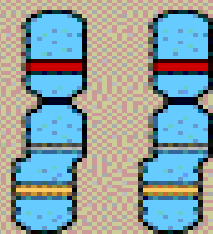
# Contamination estimates



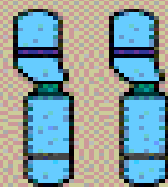
1



2



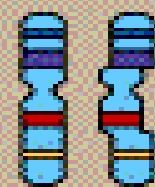
3



4



5



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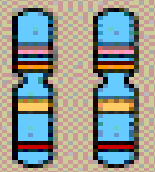
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10



11



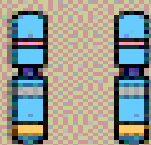
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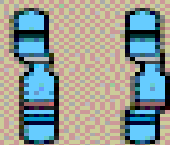
13



14



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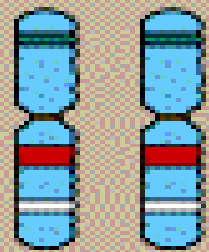
mtDNA



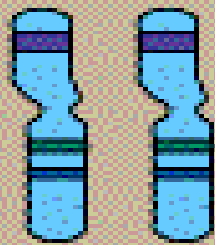
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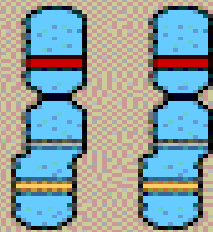
Y



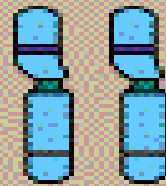
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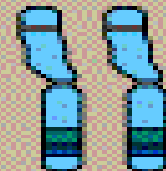
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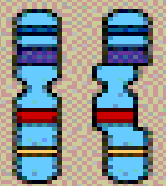
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4



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6



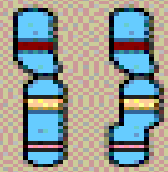
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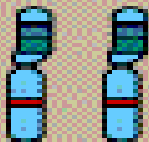
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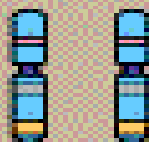
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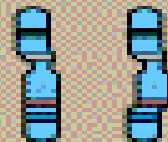
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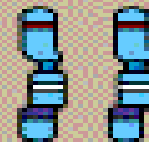
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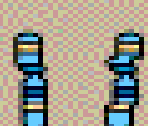
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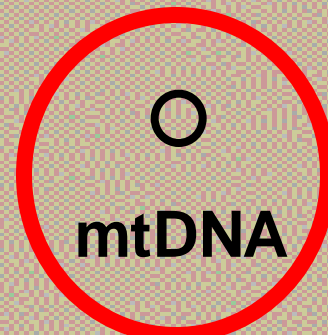
20



21



22



mtDNA



X



Y



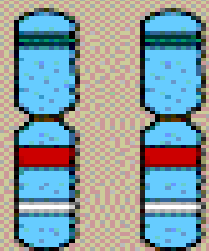
A circular map of the human mitochondrial genome (16,569 bp) showing the locations of various genes and mutation hotspots. The genome is represented as a circle with different segments for each gene, indicated by various patterns (dots, horizontal lines, vertical lines, etc.). Red arrows point to specific regions, indicating mutation hotspots. The genes shown are:

- 12S rRNA
- 16S rRNA
- ND1
- ND2
- ND3
- ND4
- ND5
- ND6
- ND4L
- Cyt b
- ATPase6
- ATPase8
- COI
- COII
- COIII
- L
- I
- M
- Q
- A
- N
- C
- Y
- O<sub>L</sub>
- S
- D
- K
- P<sub>H</sub>
- F
- O<sub>H</sub>
- D-Loop
- P
- T
- E
- H
- S
- R
- G

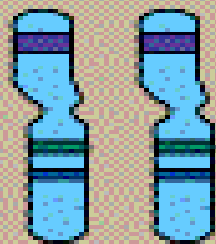
Mutation hotspots are indicated by red arrows pointing to specific regions: 12S rRNA, 16S rRNA, ND1, ND2, ND3, ND4, ND5, ND6, Cyt b, ATPase6, ATPase8, COI, COII, COIII, L, I, M, Q, A, N, C, Y, O<sub>L</sub>, S, D, K, P<sub>H</sub>, F, O<sub>H</sub>, D-Loop, P, T, E, H, S, R, G.

# Mitochondria contamination estimate

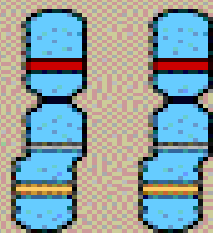
Bone	Human	Neandertal	Contamination rate
Vi33.16/1	9	1,928	0.5% (0.2% - 0.9%)
Vi33.16	11	5,827	0.2% (0.1% - 0.3%)
Vi33.26	5	1,488	0.3% (0.1% - 0.8%)
<b>Total</b>	<b>25</b>	<b>9,243</b>	<b>0.3% (0.2% - 0.4%)</b>



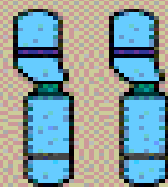
1



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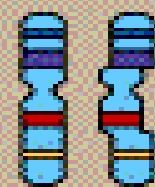
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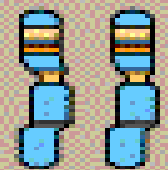
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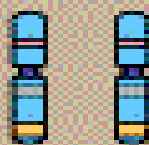
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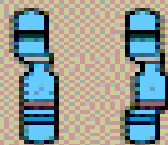
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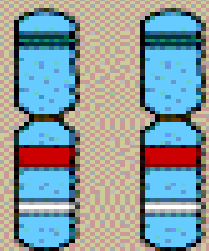
mtDNA



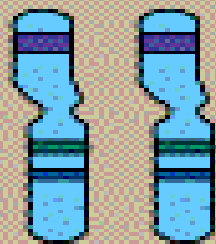
X



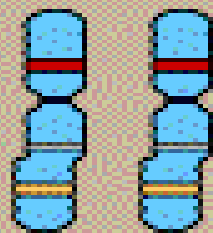
Y



1



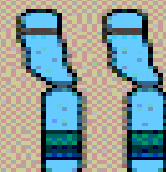
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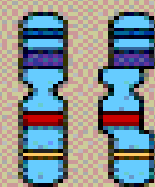
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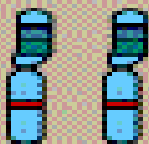
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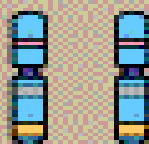
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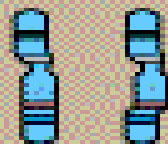
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14



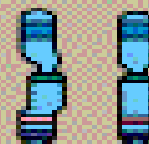
15



16



17



18



19



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21



22



mtDNA



X



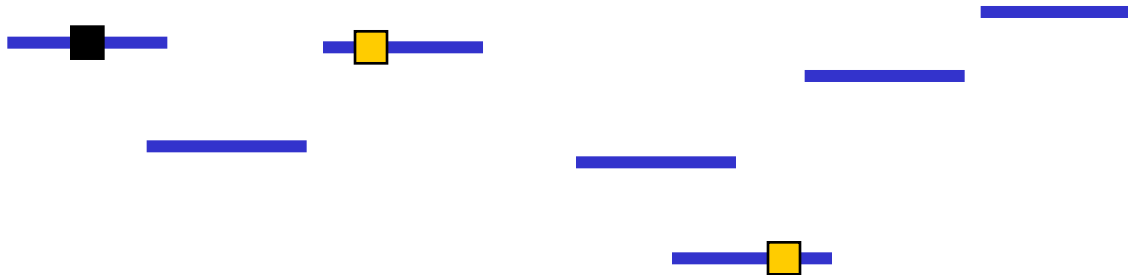
Y



# Y chromosome contamination estimate

sample	total sequences	in Y- unique regions	expected in Y-unique regions	contamination rate
Vi33.16/1	1,078,815	0	19	0% (0% - 17.6%)
Vi33.16	12,250,471	2	215	0.9% (0.1% - 3.3%)
Vi33.26	8,342,262	0	146	0% (0% - 2.5%)
<b>Total</b>	<b>21,671,548</b>	<b>2</b>	<b>380</b>	<b>0.5% (0.1% - 1.9%)</b>

## Human genome



**Neanderthal genome**  
(One-fold coverage)

Human genome



~ 63%

Neandertal genome  
(One-fold coverage)

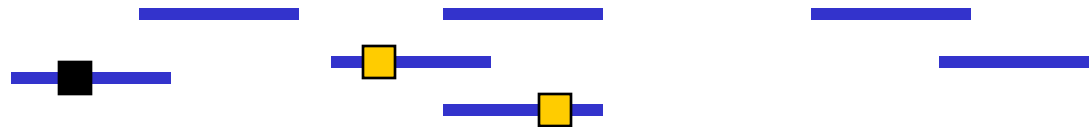
# Catalogue of changes



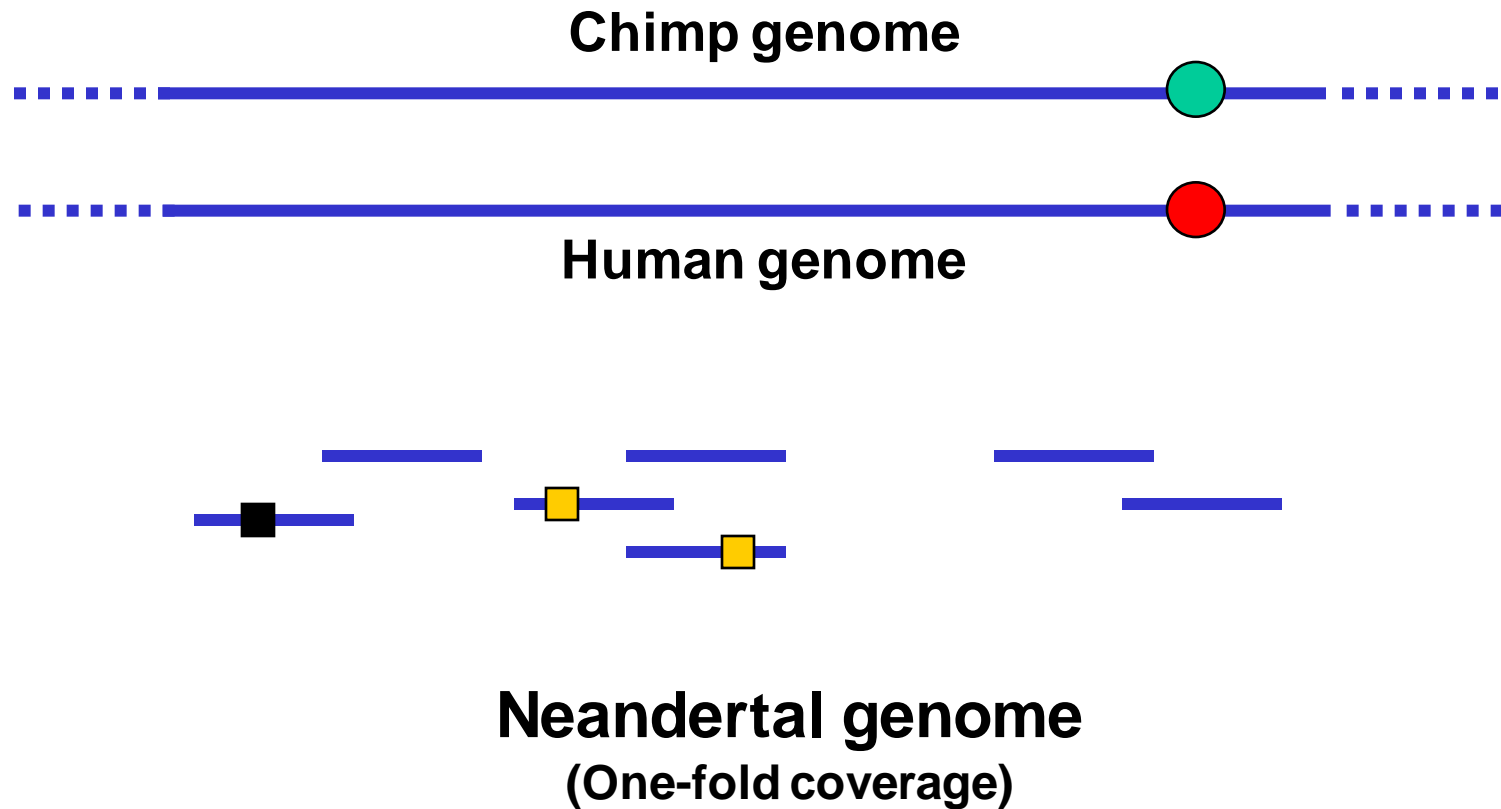
**Chimp genome**

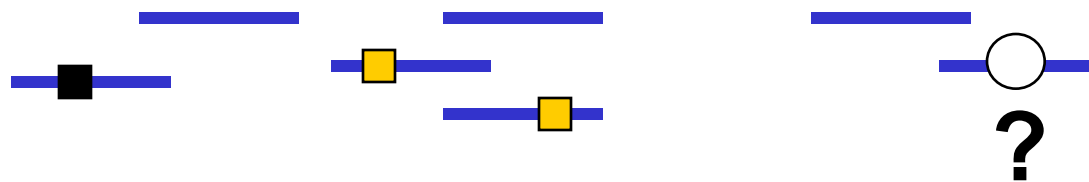
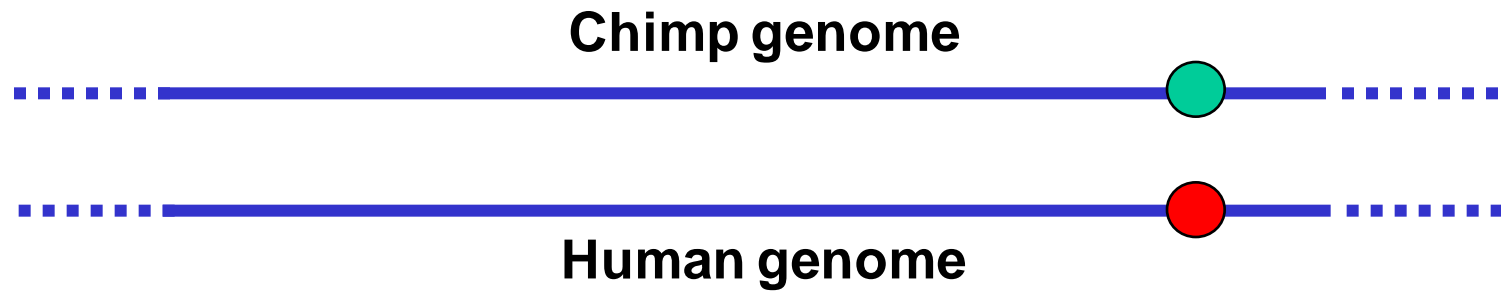


**Human genome**



**Neandertal genome**  
(One-fold coverage)





**Neanderthal genome**  
(One-fold coverage)

**Human** ...1111111111111111111111111111111111111...  
**Neandertal** ...111111111111111011111111111110111...  
**Chimp** ...000000000000000000000000000000000000...





~6.5 Myr →



~6.5 Myr →

12.8%? {

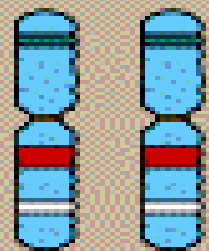


# Divergence

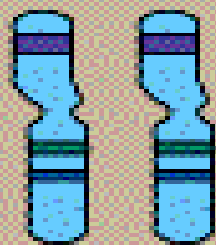
		x 6.5 MY
Neandertal:	12.8%	830,000 yrs

# Divergence

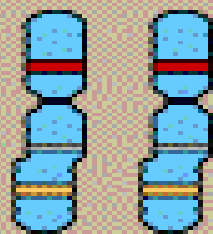
		x 6.5 MY
Neandertal:	12.8%	830,000 yrs
French:	8.1%	529,000 yrs
Han:	8.6%	558,000 yrs
Mbuti:	10.5%	682,000 yrs
San:	10.7%	694,000 yrs



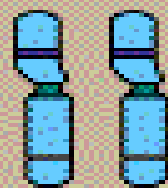
1



2



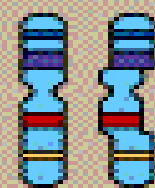
3



4



5



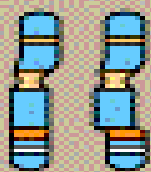
6



7



8



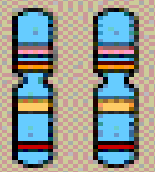
9



10



11



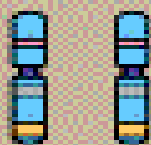
12



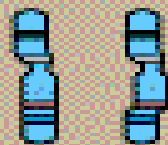
13



14



15



16



17



18



19



20



21



22



mtDNA

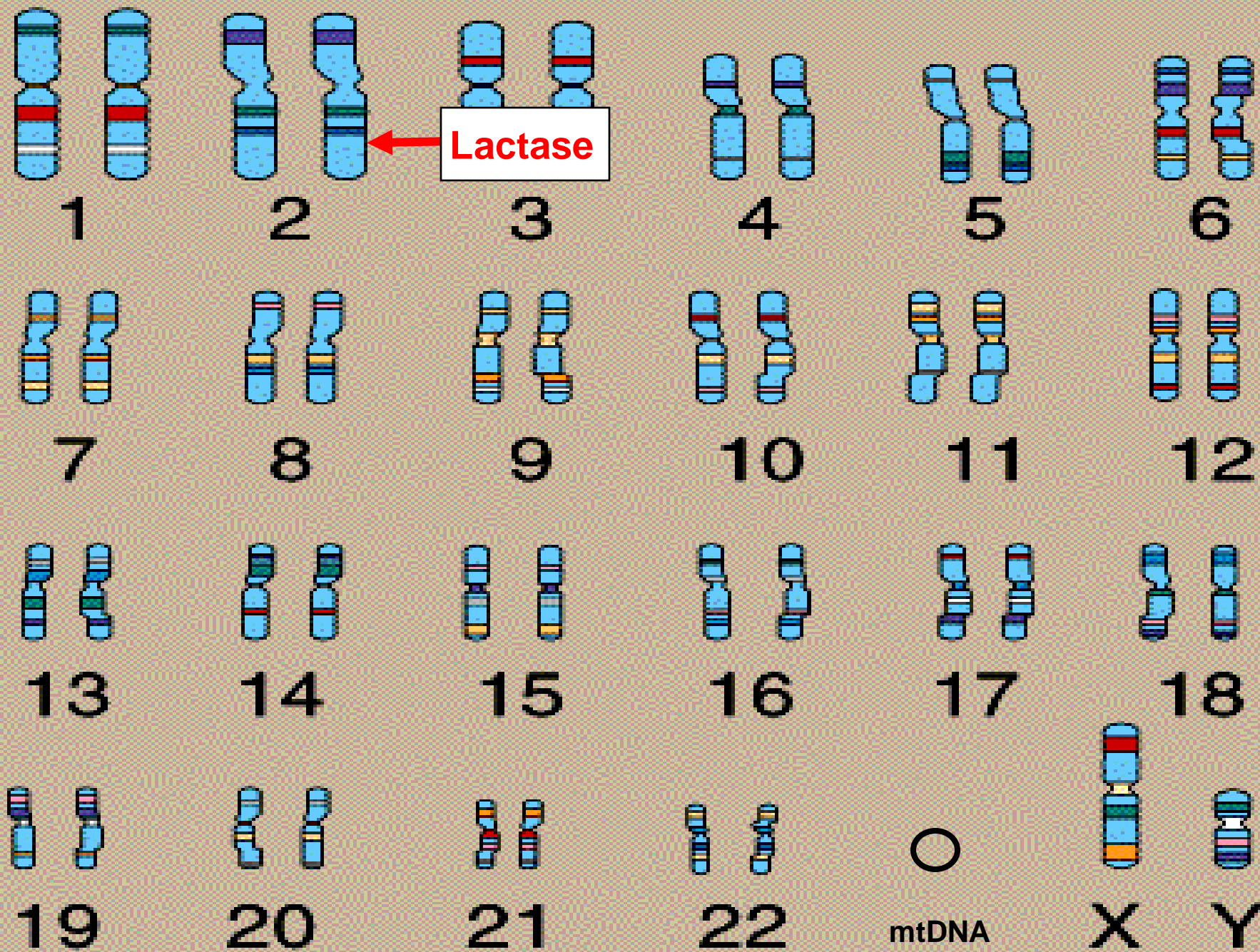


X

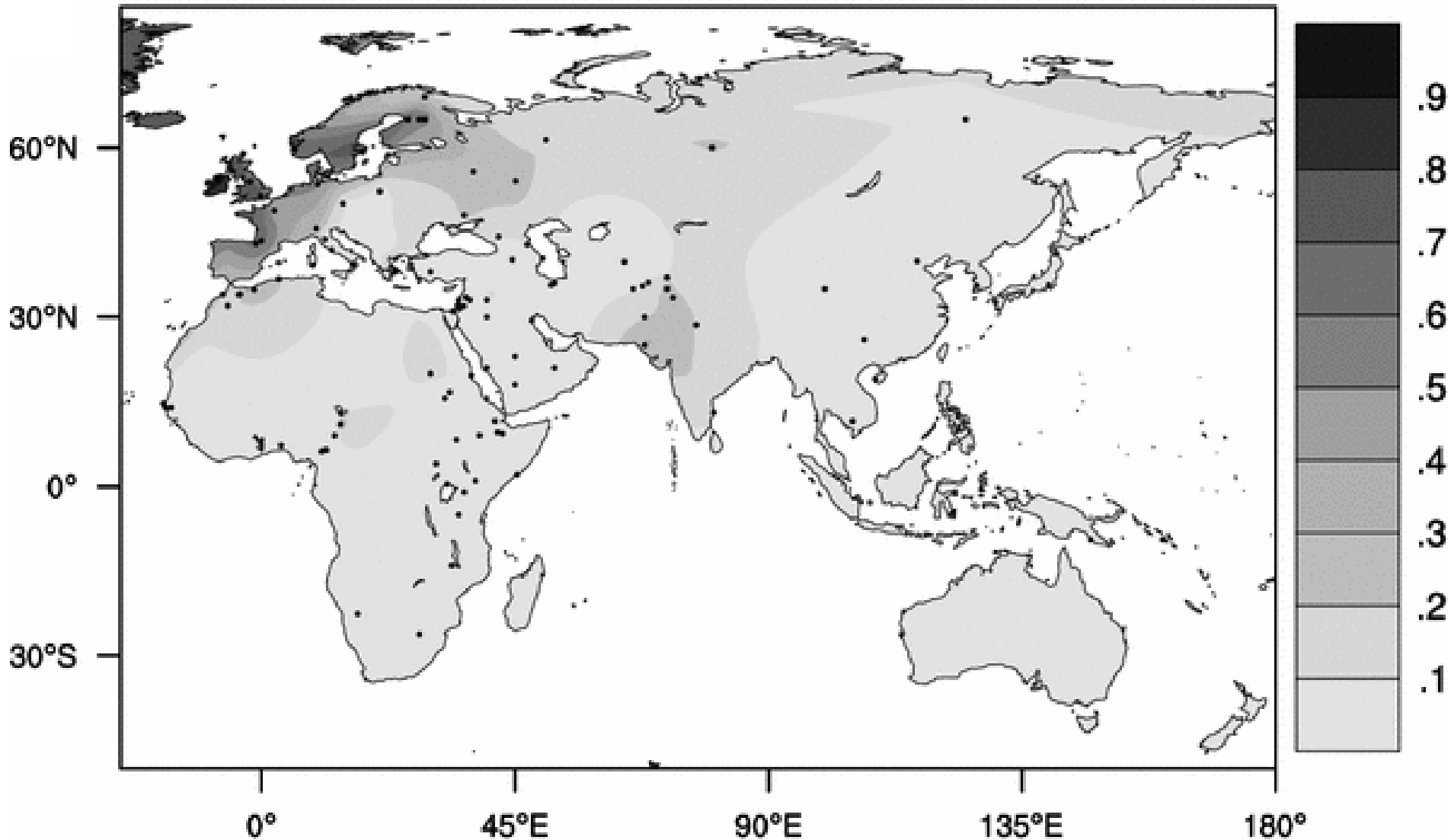


Y



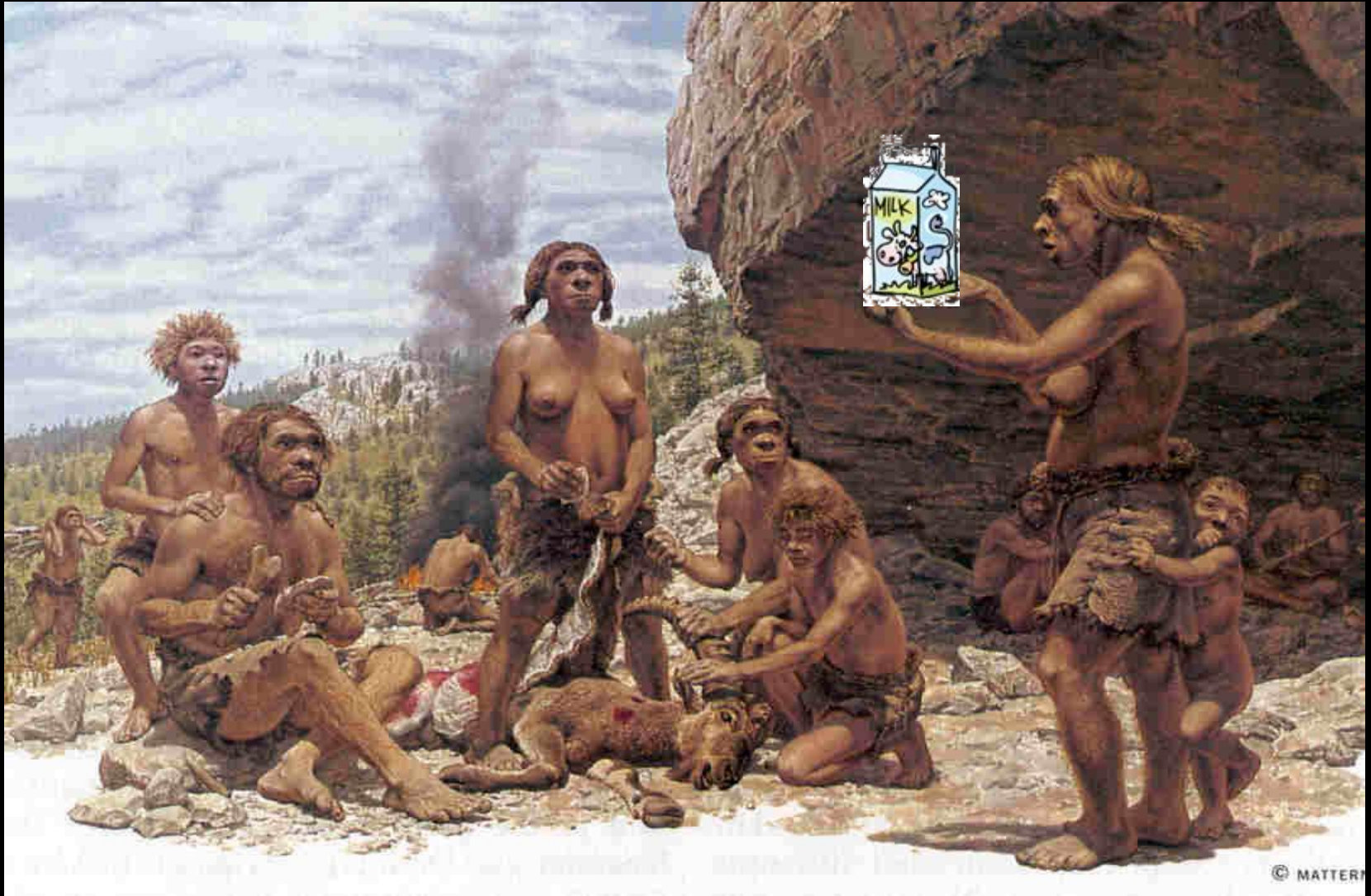


# Distribution of $LCT$ ( $C-13910 \cdot T$ )

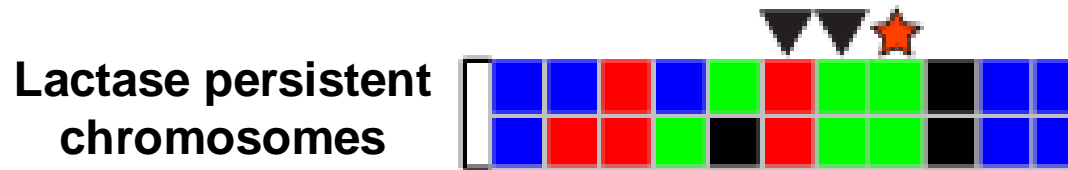


From Ingram *et al.*,  
2008

# Lactase persistence

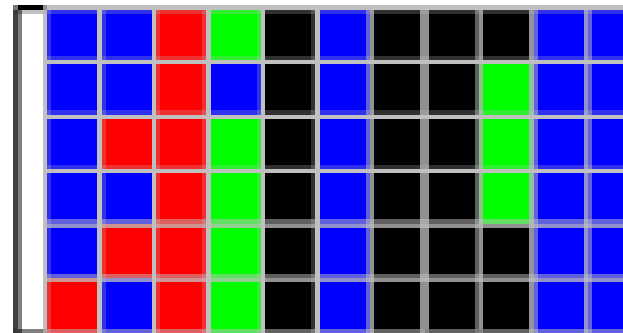


# Lactase persistence



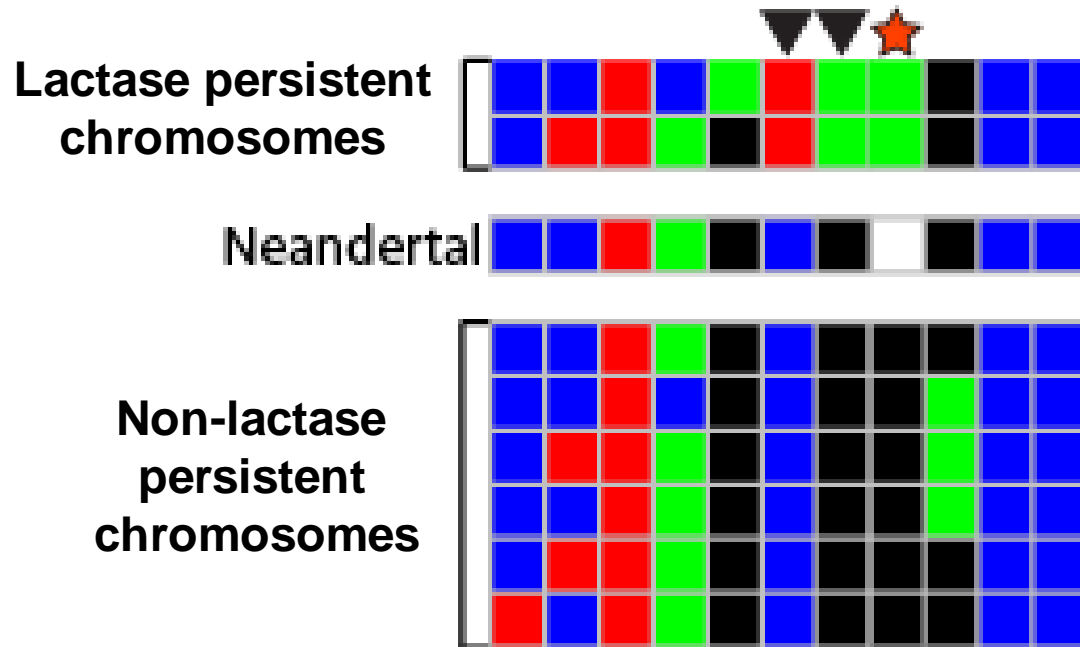
Neandertal

Non-lactase persistent chromosomes

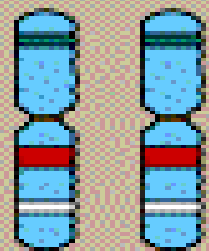


T  
C  
A  
G

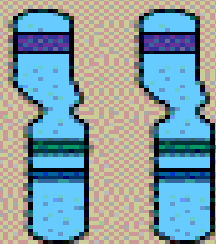
# Lactase persistence



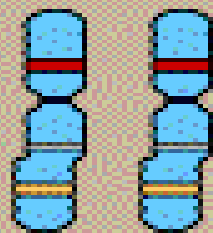




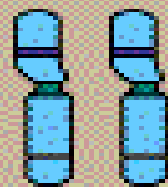
1



2



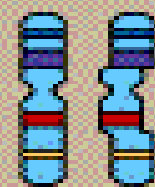
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4



5



6



7



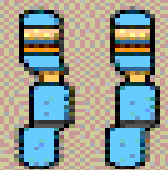
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9



10



11



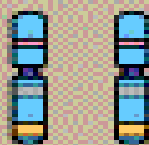
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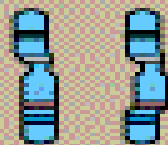
13



14



15



16



17



18



19



20



21



22



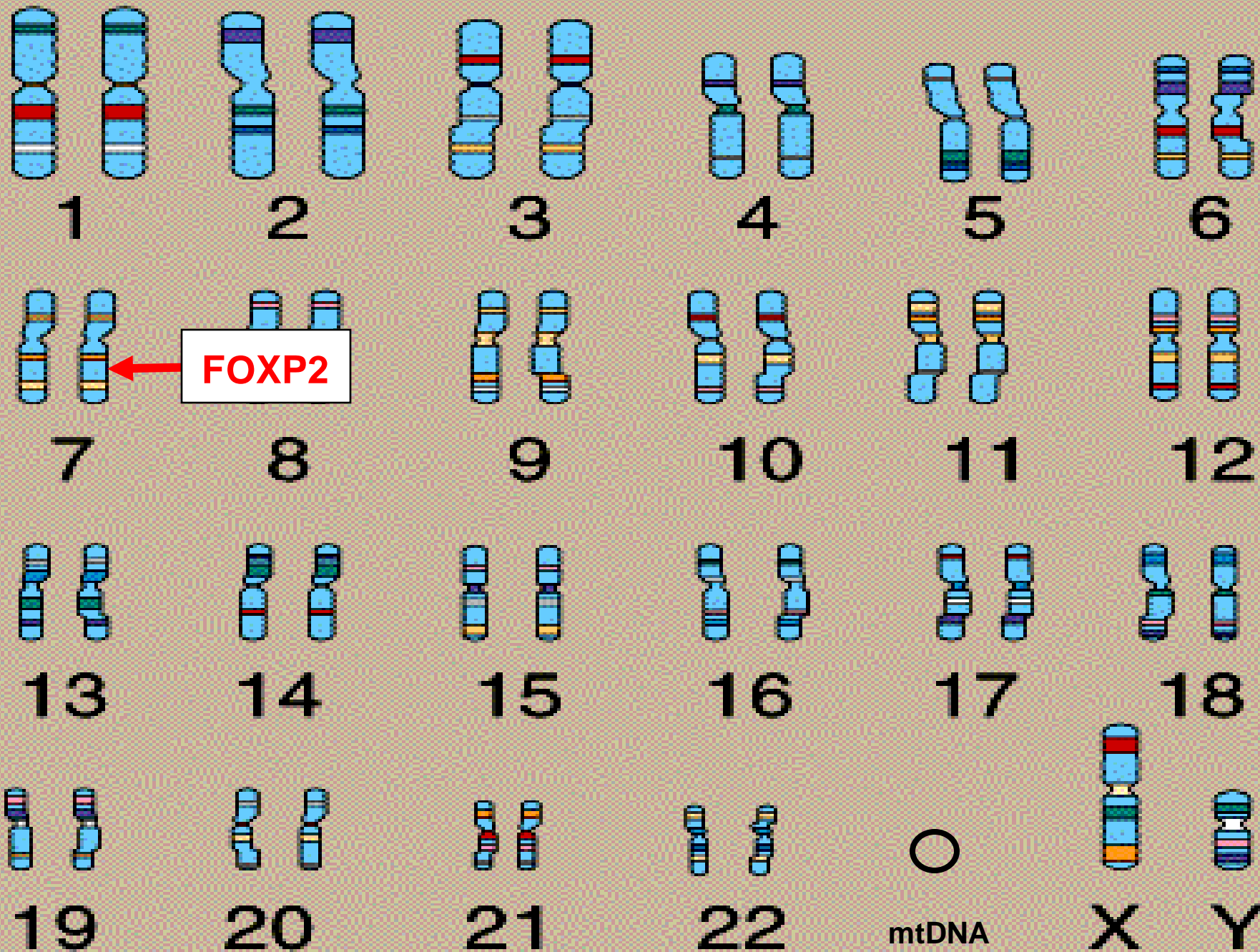
mtDNA



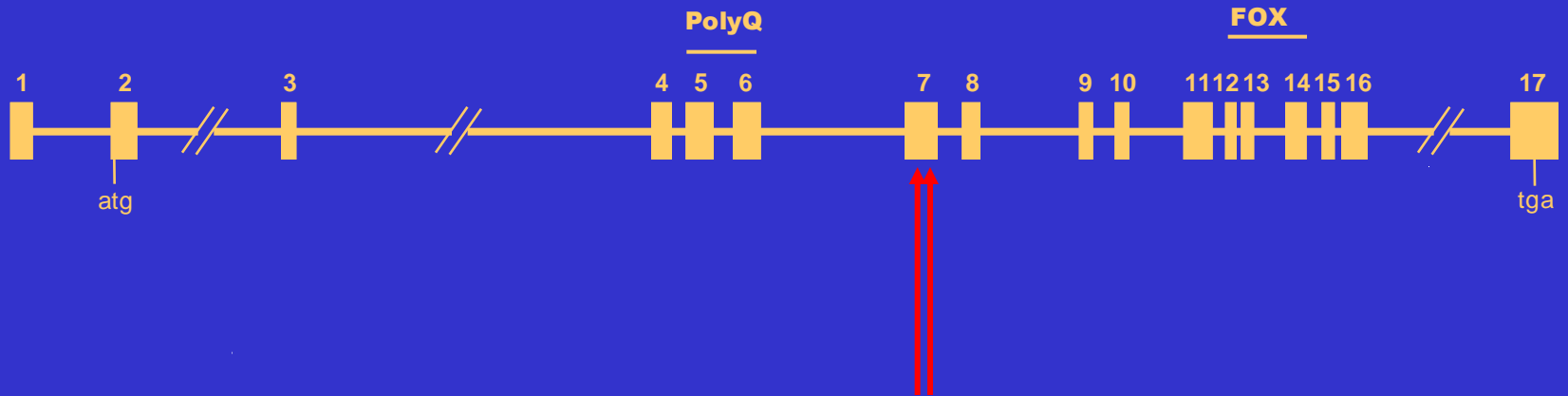
X



Y



# The human *FOXP2* gene



Two human-specific changes  
T303N and N325S

# *FOXP2* exon 7

chimpanzee

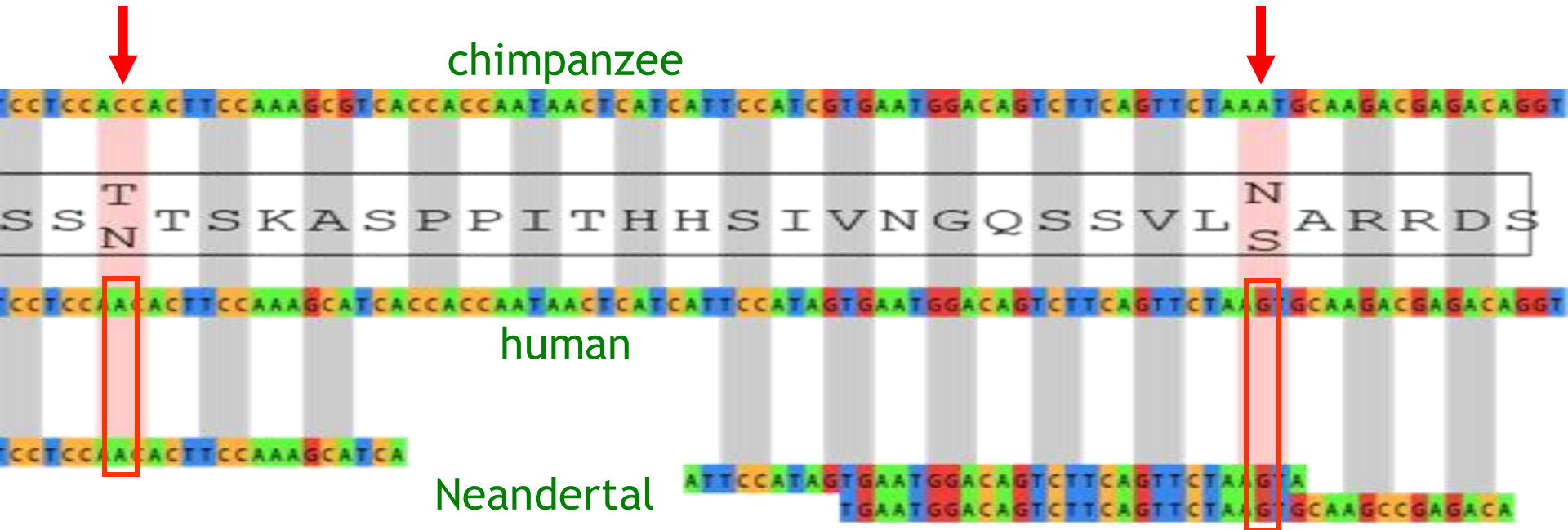


human

# *FOXP2* exon 7

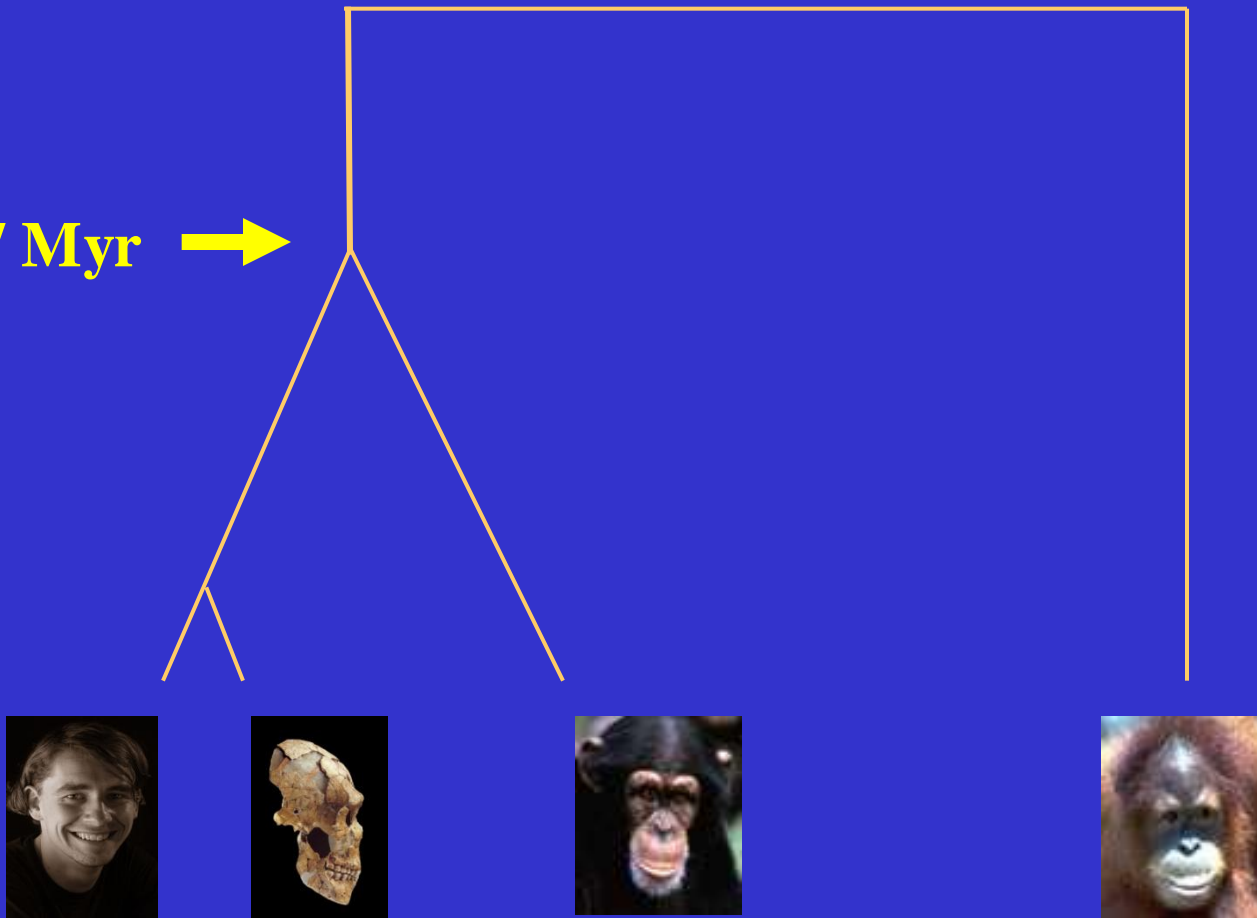


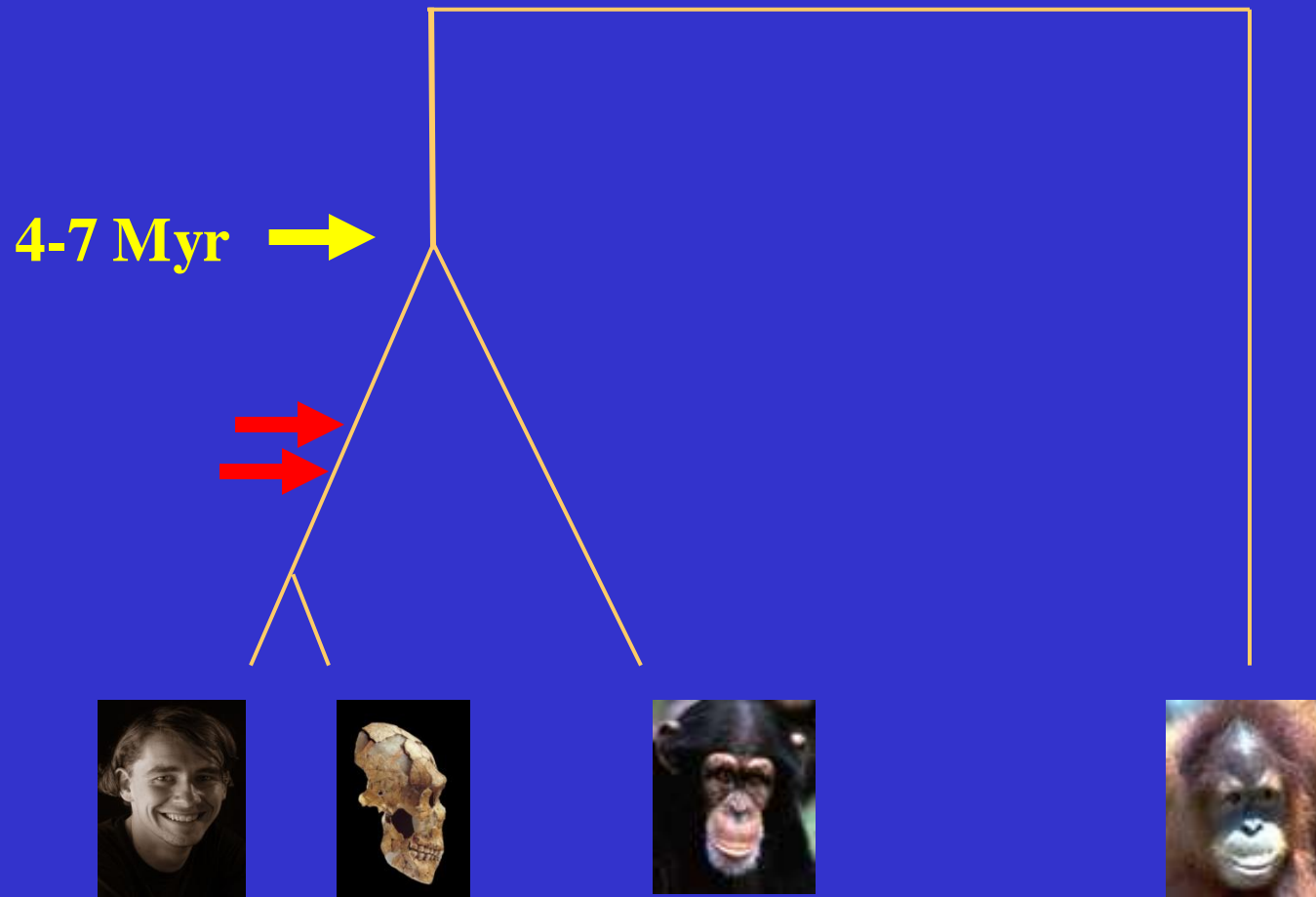
# FOXP2 exon 7



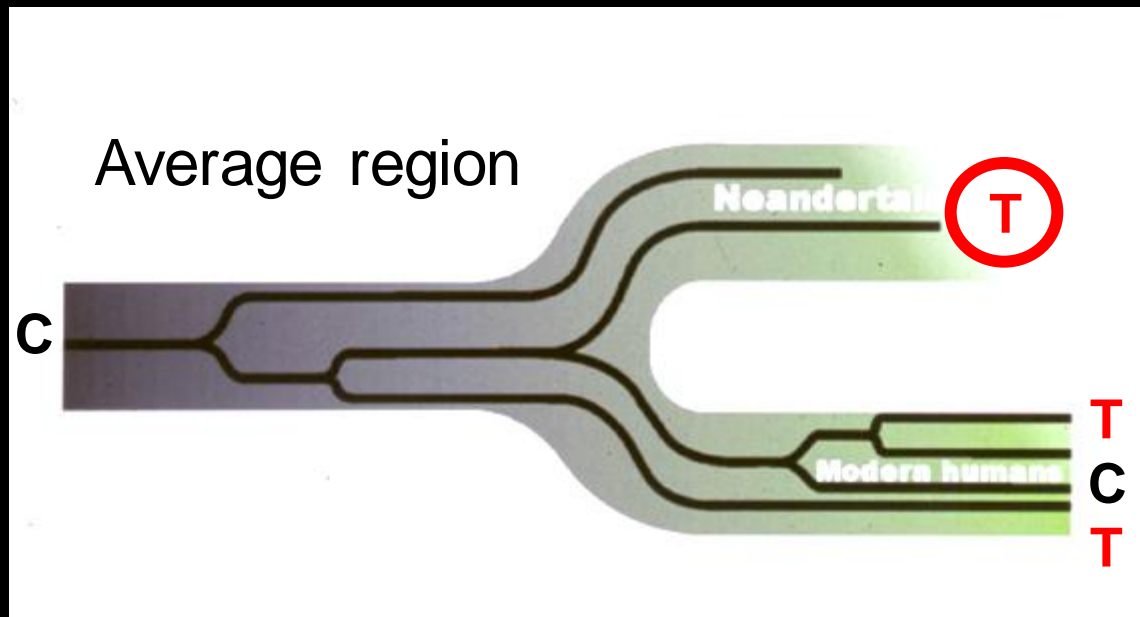


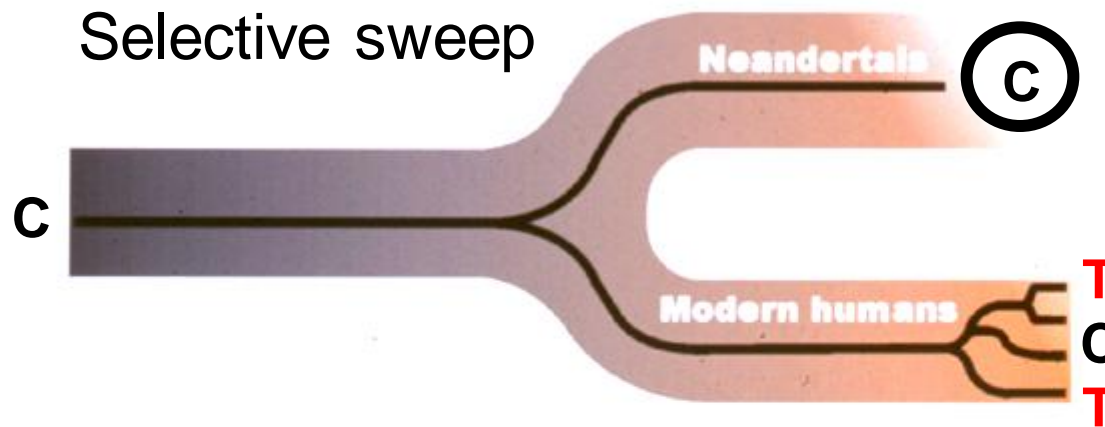
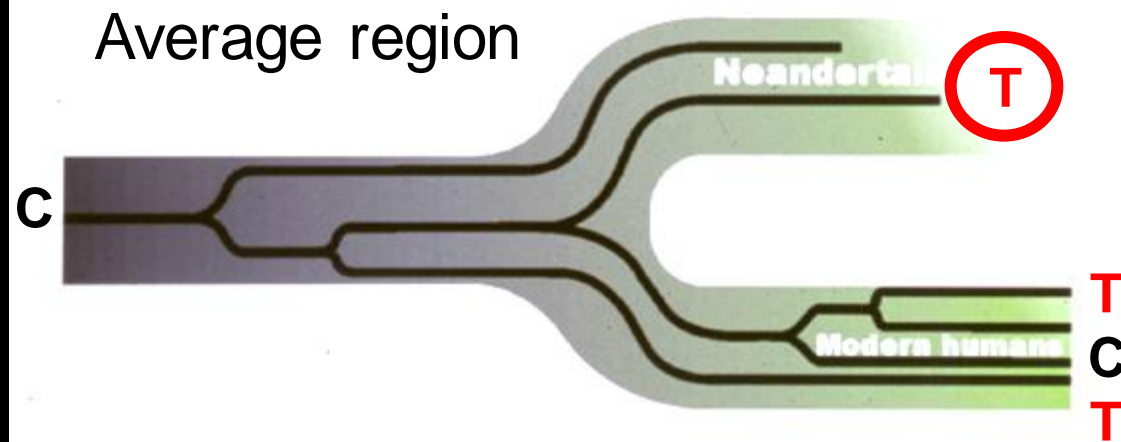
4-7 Myr →





# **Positive Darwinian selection**





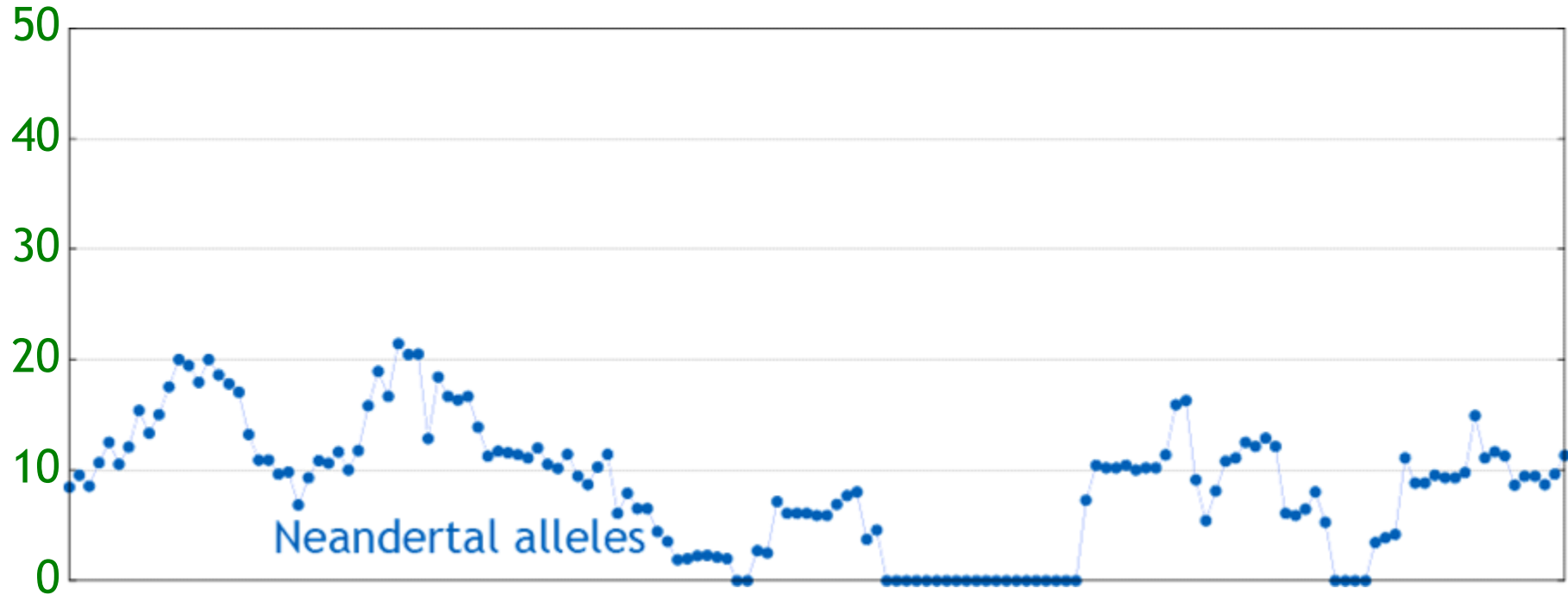
Human SNPs  
Neandertal  
Chimp

[illegible]



[illegible]

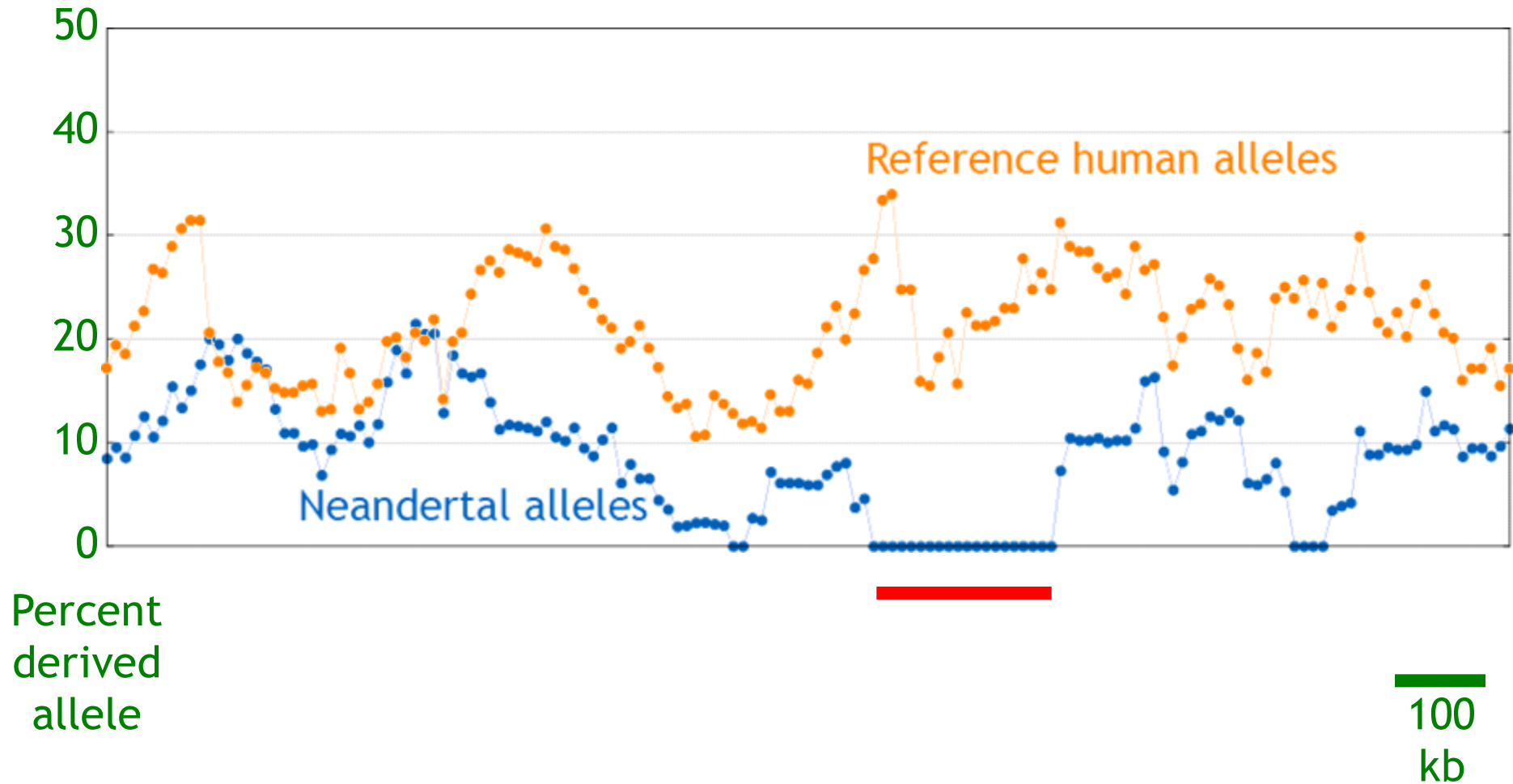
# Derived allele frequency at human SNPs

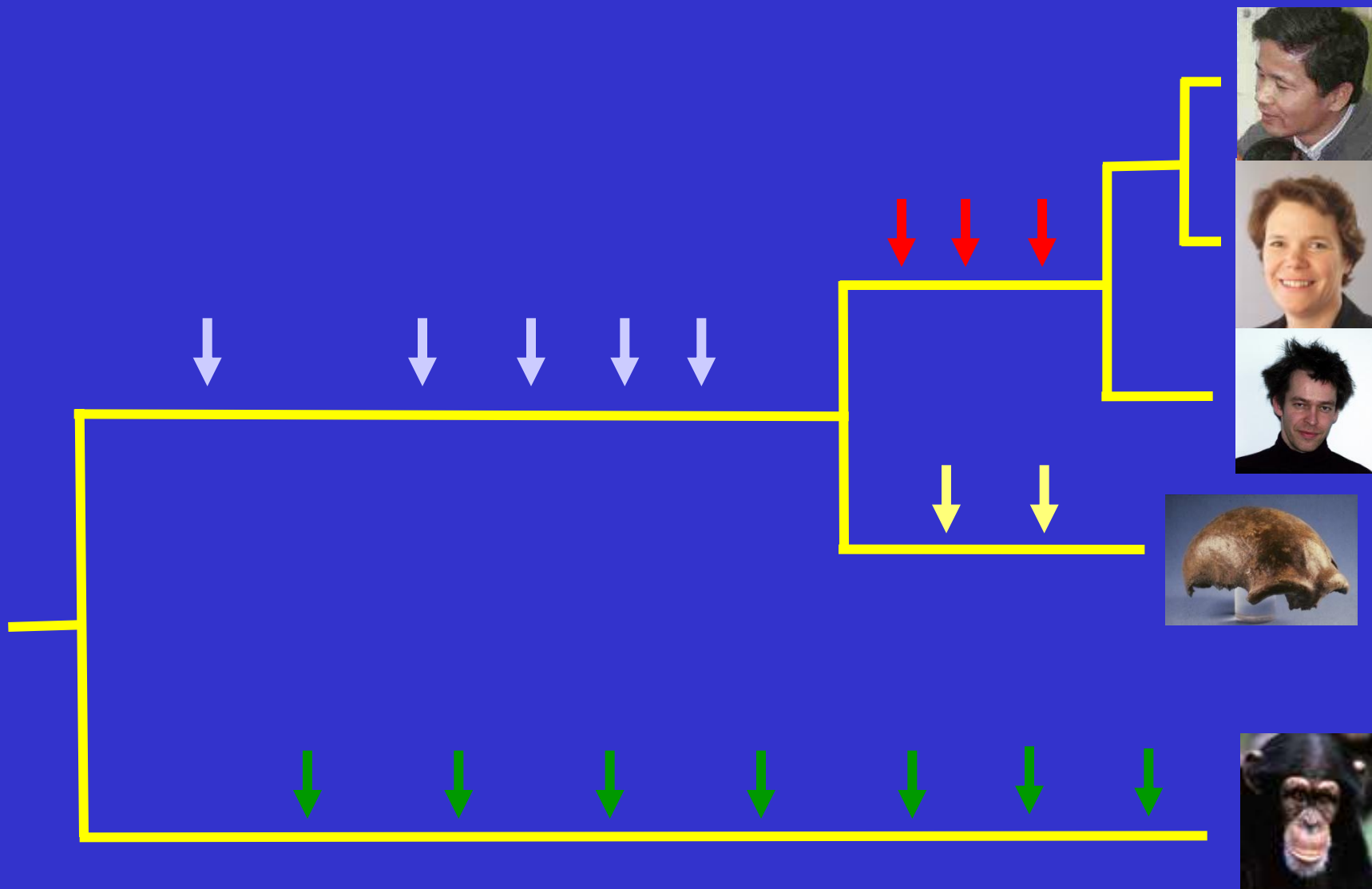


Percent  
derived  
allele

100  
kb

# Derived allele frequency at human SNPs



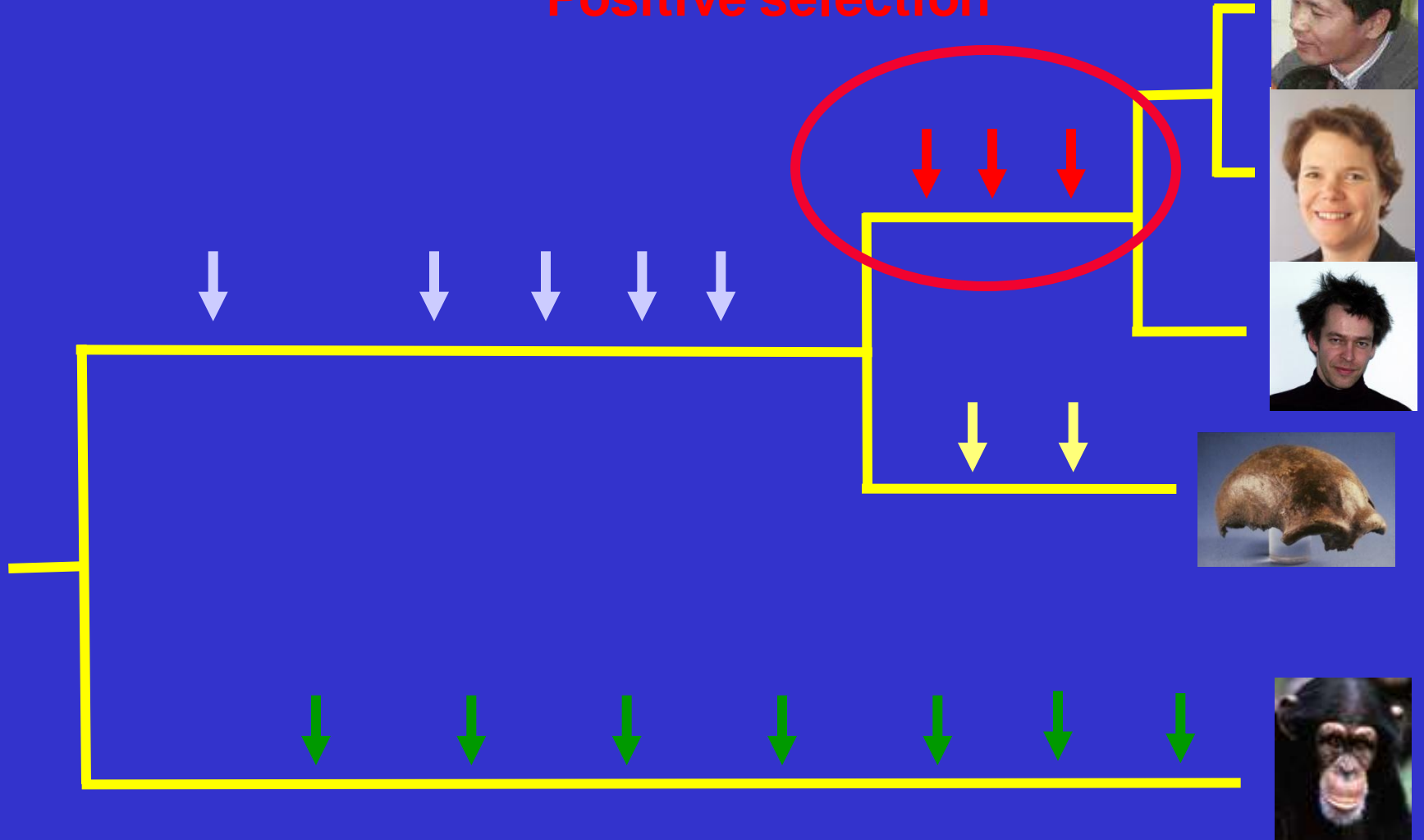


6 Myr

0.5 Myr

# Catalogue of changes

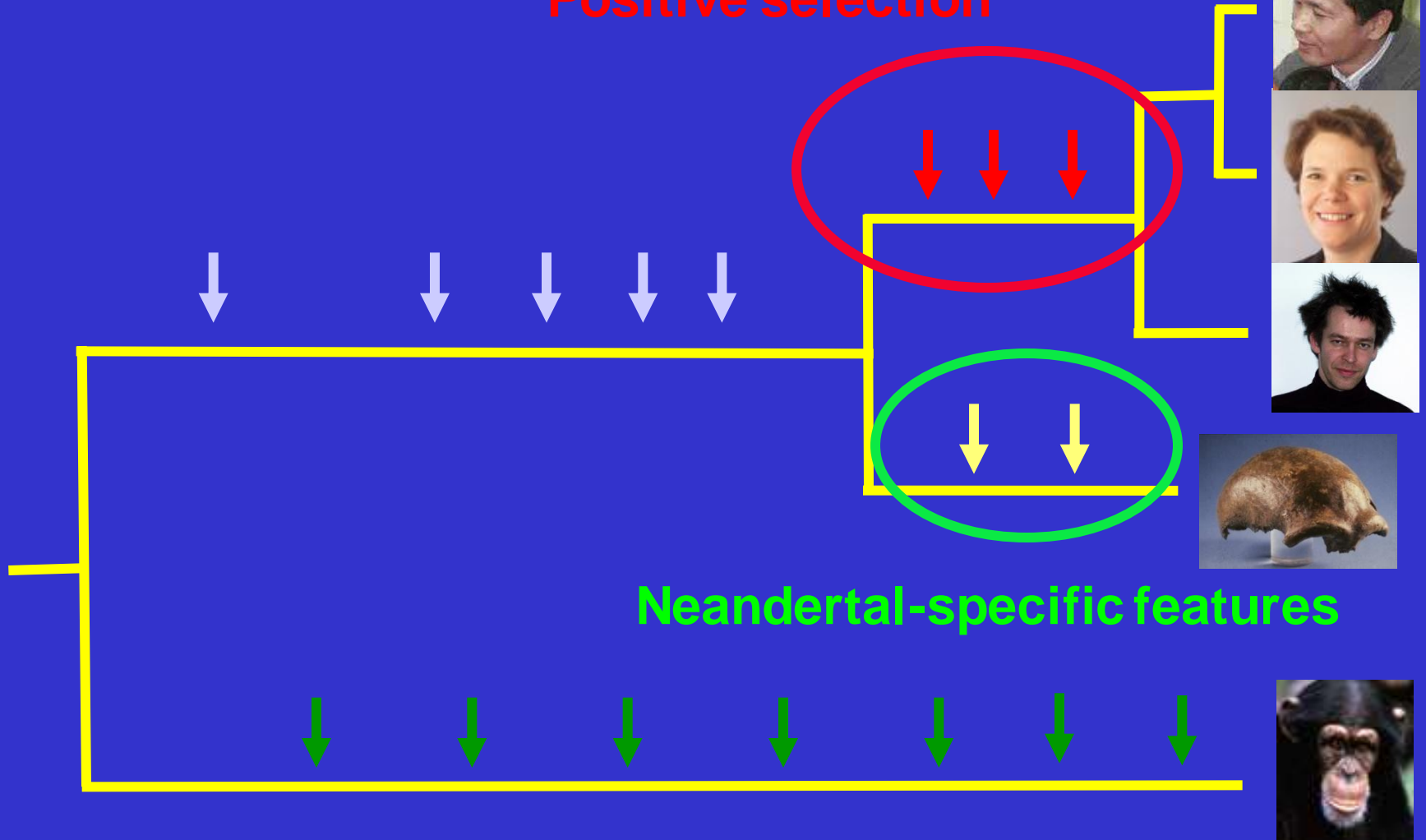
## Positive selection



6 Myr

0.5 Myr

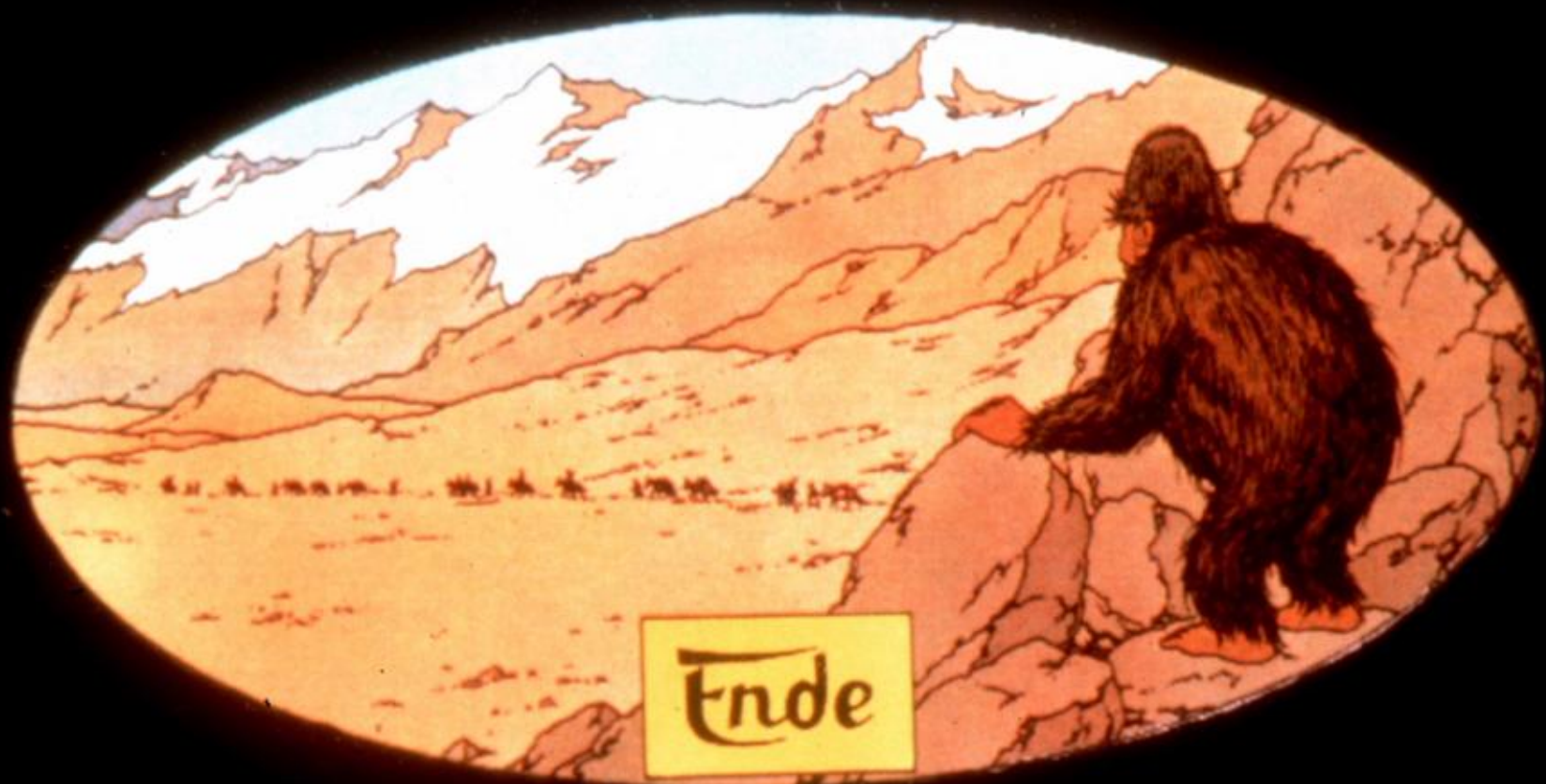
# Catalogue of changes Positive selection



6 Myr

0.5 Myr







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### News and Views

### Suggested guidelines for invasive sampling of hominid remains

Jean-Jacques Hublin<sup>a,\*</sup>, Svante Pääbo<sup>a</sup>, Anatoly P. Derevianko<sup>b</sup>, Vladimir B. Doronichev<sup>c</sup>, Liubov V. Golovanova<sup>c</sup>, Martin Friess<sup>d</sup>, Alain Froment<sup>d</sup>, Almut Hoffmann<sup>e</sup>, Ngalla Edward Jillani Kachache<sup>f</sup>, Ottmar Kullmer<sup>g</sup>, David Lordkipanidze<sup>h</sup>, Marie-Hélène Moncel<sup>i</sup>, Richard Potts<sup>j</sup>, Jakov Radovic<sup>k</sup>, Yoel Zvi Rak<sup>l</sup>, Michael Richards<sup>a</sup>, Jesús Rodríguez Méndez<sup>m</sup>, Antonio Rosas<sup>n</sup>, Michael Schmauder<sup>o</sup>, Ralf W. Schmitz<sup>p</sup>, Patrick Semal<sup>q</sup>, Tanya Smith<sup>a</sup>, Mary Anne Tafuri<sup>r</sup>, Ian Tattersall<sup>s</sup>, Jean-François Tournebise<sup>t</sup>, Michel Toussaint<sup>u</sup>, Sergey Vassiliev<sup>v</sup>, Amélie Vialet<sup>w</sup>, Tim White<sup>x</sup>, Reinhard Ziegler<sup>y</sup>

<sup>a</sup> Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany

<sup>b</sup> Institute of Archaeology and Ethnography, Siberian Branch of the Russian Academy of Sciences, Novosibirsk, Russia

<sup>c</sup> ANO Laboratory of Prehistory, St. Petersburg, Russia

<sup>d</sup> Musée de l'Homme, Paris, France

<sup>e</sup> Museum für Vor- und Frühgeschichte, Staatliche Museen zu Berlin, Germany

<sup>f</sup> Palaeontology Department, National Museums of Kenya

<sup>g</sup> Forschungsinstitut Senckenberg, Frankfurt, Germany

<sup>h</sup> Georgian National Museum, Tbilisi, Georgia

<sup>i</sup> Département de Préhistoire, Muséum National d'Histoire Naturelle, Paris, France

<sup>j</sup> National Museum of Natural History, Smithsonian Institution, Washington D.C., USA

<sup>k</sup> Croatian Natural History Museum, Zagreb, Croatia

<sup>l</sup> Sackler School of Medicine, Tel Aviv University, Israel

<sup>m</sup> National Research Centre on Human Evolution, Burgos, Spain

<sup>n</sup> Museo Nacional de Ciencias Naturales, Madrid, Spain

<sup>o</sup> Rheinisches Landesmuseum Bonn, Germany

<sup>p</sup> Institut für Ur- und Frühgeschichte und Archäologie des Mittelalters, Universität Tübingen, Germany

<sup>q</sup> Institut Royal des Sciences Naturelles de Belgique, Bruxelles, Belgium

<sup>r</sup> Dipartimento di Biologia Animale e dell'Uomo, Università di Roma "La Sapienza", Italy

<sup>s</sup> Division of Anthropology, American Museum of Natural History, New York, USA

<sup>t</sup> Musée des Beaux-Arts d'Angoulême, France

<sup>u</sup> Direction de l'Archéologie, Ministère de la Région Wallonne, Liège, Belgium

<sup>v</sup> Institute of Ethnology and Anthropology, Russian Academy of Sciences, Moscow, Russia

<sup>w</sup> Institut de Paléontologie Humaine, Paris, France

<sup>x</sup> Department of Integrative Biology, University of California, Berkeley, USA

<sup>y</sup> Staatliches Museum für Naturkunde, Stuttgart, Germany

# Screening of Neandertal bones

## No detectable Neandertal DNA:

Shanidar III (Iraq)  
Krasny grot (Ukraine)  
Zaskalnaya VI (Ukraine)  
Sima de los Palomas (Spain)  
Quina Negra (Spain)  
Gibraltar (UK)  
Tabun (Israel)  
La Quina (France)

## <1% Neandertal DNA:

Hohlenstein-Stadel (Germany)  
Feldhofer (Germany)  
El Sidron (Spain)  
Mezmaiskaya (Russia)  
La Chapelle (France)  
Okladnikov (Russia)  
Teshik Tash (Ushbekistan)

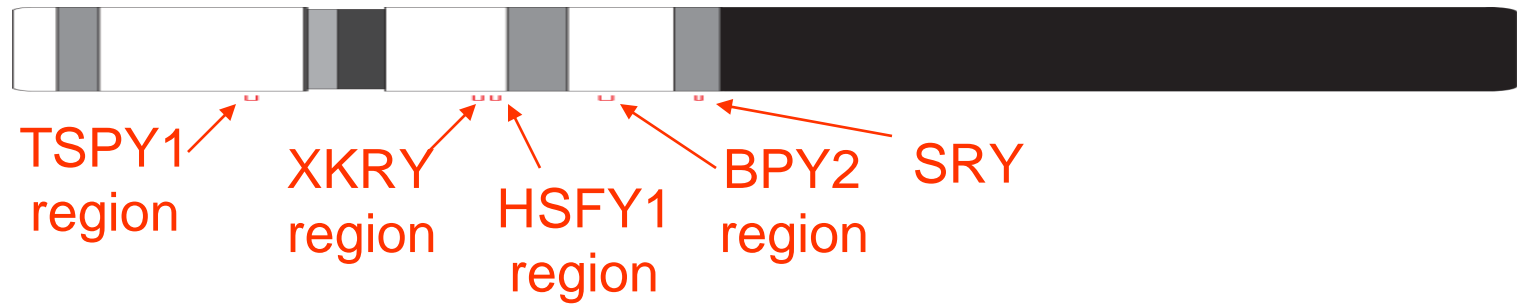
## >1% Neandertal DNA:

Vindija (Croatia)





# Y chromosome



Total Y-unique sequence = 98,295 nt

[www.roche-applied-science.com](http://www.roche-applied-science.com)

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**Michael Egholm**

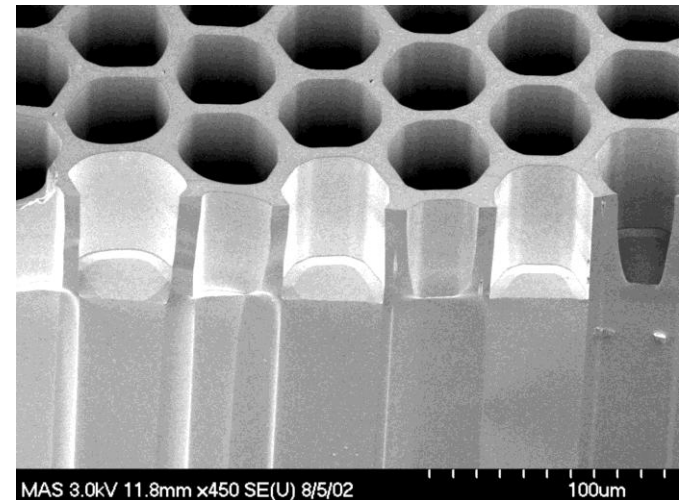
**[michael.egholm@roche.com](mailto:michael.egholm@roche.com)**

*February 12, 2009*





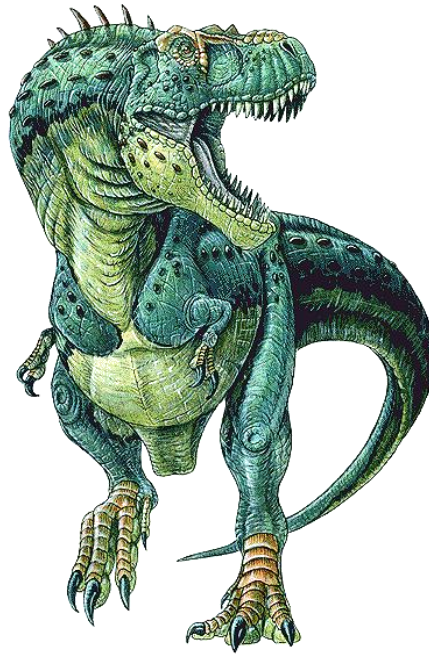
# First NextGen Sequencer Anno 2005



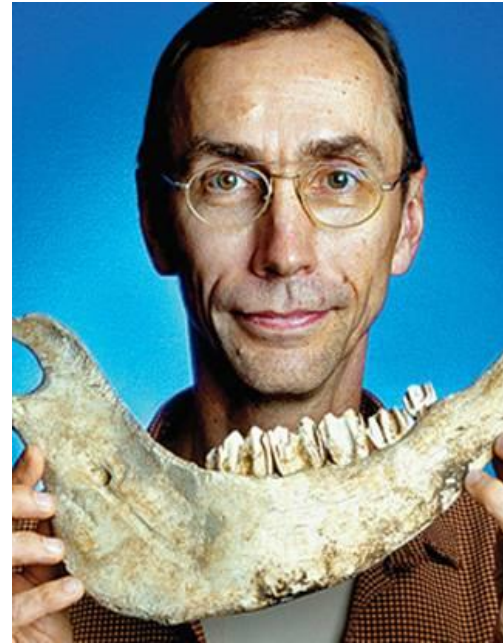
# 454's Road to the Neanderthal Project



**Jonathan Rothberg**  
**Founder of 454**



***T. rex***



**Svante Pääbo**  
**MPI**



**Neanderthal**

# One is not enough



## Top story

[illegible]

## James Watson's genome sequenced at high speed

**16 April 2008**

- Ready or not
- Celebrity genomes alarm researchers
- All about Craig: the first 'full' genome sequence

The application of new technology to sequence the genome of an individual yields few biological insights. Nonetheless, the feat heralds an era of 'personal genomics' based on cheap sequencing.

*Maynard V. Olson*

# Acknowledgements

- Jonathan Rothberg
- Jan Simons
- Mike Ronan
- Jason Affourtit
- Eli Buglione
- Adam Burke
- Cynthia Turcotte
- Gerald Irzyk
- Joe Salem and the members of the 454 Sequencing Center production team
- All of 454

