

# **Report to Congress on the Situation in Iraq**

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Commander, Multi-National Force-Iraq  
10-11 September 2007

Mr. Chairmen, Ranking Members, Members of the Committees, thank you for the opportunity to provide my assessment of the security situation in Iraq and to discuss the recommendations I recently provided to my chain of command for the way forward.

At the outset, I would like to note that this is my testimony. Although I have briefed my assessment and recommendations to my chain of command, I wrote this testimony myself. It has not been cleared by, nor shared with, anyone in the Pentagon, the White House, or Congress.

As a bottom line up front, the military objectives of the surge are, in large measure, being met. In recent months, in the face of tough enemies and the brutal summer heat of Iraq, Coalition and Iraqi Security Forces have achieved progress in the security arena. Though the improvements have been uneven across Iraq, the overall number of security incidents in Iraq has declined in 8 of the past 12 weeks, with the numbers of incidents in the last two weeks at the lowest levels seen since June 2006.

One reason for the decline in incidents is that Coalition and Iraqi forces have dealt significant blows to Al Qaeda-Iraq. Though Al Qaeda and its affiliates in Iraq remain dangerous, we have taken away a number of their sanctuaries and gained the initiative in many areas.

We have also disrupted Shia militia extremists, capturing the head and numerous other leaders of the Iranian-supported Special Groups, along with a senior Lebanese Hezbollah operative supporting Iran's activities in Iraq.

Coalition and Iraqi operations have helped reduce ethno-sectarian violence, as well, bringing down the number of ethno-sectarian deaths substantially in Baghdad and across Iraq since the height of the sectarian violence last December. The number of overall civilian deaths has also declined during this period, although the numbers in each area are still at troubling levels.

Iraqi Security Forces have also continued to grow and to shoulder more of the load, albeit slowly and amid continuing concerns about the sectarian tendencies of some elements in their ranks. In general, however, Iraqi elements have been standing and fighting and sustaining tough losses, and they have taken the lead in operations in many areas.

Additionally, in what may be the most significant development of the past 8 months, the tribal rejection of Al Qaeda that started in Anbar Province and helped produce such significant change there has now spread to a number of other locations as well.

Based on all this and on the further progress we believe we can achieve over the next few months, I believe that we will be able to reduce our forces to the pre-surge level of brigade

combat teams by next summer without jeopardizing the security gains that we have fought so hard to achieve.

Beyond that, while noting that the situation in Iraq remains complex, difficult, and sometimes downright frustrating, I also believe that it is possible to achieve our objectives in Iraq over time, though doing so will be neither quick nor easy.

Having provided that summary, I would like to review the nature of the conflict in Iraq, recall the situation before the surge, describe the current situation, and explain the recommendations I have provided to my chain of command for the way ahead in Iraq.

### The Nature of the Conflict

The fundamental source of the conflict in Iraq is competition among ethnic and sectarian communities for power and resources. This competition will take place, and its resolution is key to producing long-term stability in the new Iraq. The question is whether the competition takes place more – or less – violently. This chart shows the security challenges in Iraq. Foreign and home-grown terrorists, insurgents, militia extremists, and criminals all push the ethno-sectarian competition toward violence. Malign actions by Syria and, especially, by Iran fuel that violence. Lack of adequate governmental capacity, lingering sectarian mistrust, and various forms of corruption add to Iraq's challenges.

### The Situation in December 2006 and the Surge

In our recent efforts to look to the future, we found it useful to revisit the past. In December 2006, during the height of the ethno-sectarian violence that escalated in the wake of the bombing of the Golden Dome Mosque in Samarra, the leaders in Iraq at that time – General George Casey and Ambassador Zalmay Khalilzad – concluded that the coalition was failing to achieve its objectives. Their review underscored the need to protect the population and reduce sectarian violence, especially in Baghdad. As a result, General Casey requested additional forces to enable the Coalition to accomplish these tasks, and those forces began to flow in January.

In the ensuing months, our forces and our Iraqi counterparts have focused on improving security, especially in Baghdad and the areas around it, wresting sanctuaries from Al Qaeda control, and disrupting the efforts of the Iranian-supported militia extremists. We have employed counterinsurgency practices that underscore the importance of units living among the people they are securing, and accordingly, our forces have established dozens of joint security stations and patrol bases manned by Coalition and Iraqi forces in Baghdad and in other areas across Iraq.

In mid-June, with all the surge brigades in place, we launched a series of offensive operations focused on: expanding the gains achieved in the preceding months in Anbar Province; clearing Baqubah, several key Baghdad neighborhoods, the remaining sanctuaries in Anbar Province, and important areas in the so-called “belts” around Baghdad; and pursuing Al Qaeda in the Diyala River Valley and several other areas.

Throughout this period, as well, we engaged in dialogue with insurgent groups and tribes, and this led to additional elements standing up to oppose Al Qaeda and other extremists. We also continued to emphasize the development of the Iraqi Security Forces and we employed non-kinetic means to exploit the opportunities provided by the conduct of our kinetic operations – aided in this effort by the arrival of additional Provincial Reconstruction Teams.

### Current Situation and Trends

The progress our forces have achieved with our Iraqi counterparts has, as I noted at the outset, been substantial. While there have been setbacks as well as successes and tough losses along the way, overall, our tactical commanders and I see improvements in the security environment. We do not, however, just rely on gut feel or personal observations; we also conduct considerable data collection and analysis to gauge progress and determine trends. We do this by gathering and refining data from coalition and Iraqi operations centers, using a methodology that has been in place for well over a year and that has benefited over the past seven months from the increased presence of our forces living among the Iraqi people. We endeavor to ensure our analysis of that data is conducted with rigor and consistency, as our ability to achieve a nuanced understanding of the security environment is dependent on collecting and analyzing data in a consistent way over time. Two US intelligence agencies recently reviewed our methodology, and they concluded that the data we produce is the most accurate and authoritative in Iraq.

As I mentioned up front, and as the chart before you reflects, the level of security incidents has decreased significantly since the start of the surge of offensive operations in mid-June, declining in 8 of the past 12 weeks, with the level of incidents in the past two weeks the lowest since June 2006 and with the number of attacks this past week the lowest since April 2006.

Civilian deaths of all categories, less natural causes, have also declined considerably, by over 45% Iraq-wide since the height of the sectarian violence in December. This is shown by the top line on this chart, and the decline by some 70% in Baghdad is shown by the bottom line. Periodic mass casualty attacks by Al Qaeda have tragically added to the numbers outside Baghdad, in particular. Even without the sensational attacks, however, the level of civilian deaths is clearly still too high and continues to be of serious concern.

As the next chart shows, the number of ethno-sectarian deaths, an important subset of the overall civilian casualty figures, has also declined significantly since the height of the sectarian violence in December. Iraq-wide, as shown by the top line on this chart, the number of ethno-sectarian deaths has come down by over 55%, and it would have come down much further were it not for the casualties inflicted by barbaric Al Qaeda bombings attempting to reignite sectarian violence. In Baghdad, as the bottom line shows, the number of ethno-sectarian deaths has come down by some 80% since December. This chart also displays the density of sectarian incidents in various Baghdad neighborhoods and it both reflects the progress made in reducing ethno-sectarian violence in the Iraqi capital and identifies the areas that remain the most challenging.

As we have gone on the offensive in former Al Qaeda and insurgent sanctuaries, and as locals have increasingly supported our efforts, we have found a substantially increased number of arms, ammunition, and explosives caches. As this chart shows, we have, so far this year, already found

and cleared over 4,400 caches, nearly 1,700 more than we discovered in all of last year. This may be a factor in the reduction in the number of overall improvised explosive device attacks in recent months, which as this chart shows, has declined sharply, by about one-third, since June.

The change in the security situation in Anbar Province has, of course, been particularly dramatic. As this chart shows, monthly attack levels in Anbar have declined from some 1,350 in October 2006 to a bit over 200 in August of this year. This dramatic decrease reflects the significance of the local rejection of Al Qaeda and the newfound willingness of local Anbaris to volunteer to serve in the Iraqi Army and Iraqi Police Service. As I noted earlier, we are seeing similar actions in other locations, as well.

To be sure, trends have not been uniformly positive across Iraq, as is shown by this chart depicting violence levels in several key Iraqi provinces. The trend in Ninevah Province, for example, has been much more up and down, until a recent decline, and the same is true in Sala ad Din Province, though recent trends there and in Baghdad have been in the right direction. In any event, the overall trajectory in Iraq – a steady decline of incidents in the past three months – is still quite significant.

The number of car bombings and suicide attacks has also declined in each of the past 5 months, from a high of some 175 in March, as this chart shows, to about 90 this past month. While this trend in recent months has been heartening, the number of high profile attacks is still too high, and we continue to work hard to destroy the networks that carry out these barbaric attacks.

Our operations have, in fact, produced substantial progress against Al Qaeda and its affiliates in Iraq. As this chart shows, in the past 8 months, we have considerably reduced the areas in which Al Qaeda enjoyed sanctuary. We have also neutralized 5 media cells, detained the senior Iraqi leader of Al Qaeda-Iraq, and killed or captured nearly 100 other key leaders and some 2,500 rank-and-file fighters. Al Qaeda is certainly not defeated; however, it is off balance and we are pursuing its leaders and operators aggressively. Of note, as the recent National Intelligence Estimate on Iraq explained, these gains against Al Qaeda are a result of the synergy of actions by: conventional forces to deny the terrorists sanctuary; intelligence, surveillance, and reconnaissance assets to find the enemy; and special operations elements to conduct targeted raids. A combination of these assets is necessary to prevent the creation of a terrorist safe haven in Iraq.

In the past six months we have also targeted Shia militia extremists, capturing a number of senior leaders and fighters, as well as the deputy commander of Lebanese Hezbollah Department 2800, the organization created to support the training, arming, funding, and, in some cases, direction of the militia extremists by the Iranian Republican Guard Corps' Qods Force. These elements have assassinated and kidnapped Iraqi governmental leaders, killed and wounded our soldiers with advanced explosive devices provided by Iran, and indiscriminately rocketed civilians in the International Zone and elsewhere. It is increasingly apparent to both Coalition and Iraqi leaders that Iran, through the use of the Qods Force, seeks to turn the Iraqi Special Groups into a Hezbollah-like force to serve its interests and fight a proxy war against the Iraqi state and coalition forces in Iraq.

The most significant development in the past six months likely has been the increasing emergence of tribes and local citizens rejecting Al Qaeda and other extremists. This has, of course, been most visible in Anbar Province. A year ago the province was assessed as “lost” politically. Today, it is a model of what happens when local leaders and citizens decide to oppose Al Qaeda and reject its Taliban-like ideology. While Anbar is unique and the model it provides cannot be replicated everywhere in Iraq, it does demonstrate the dramatic change in security that is possible with the support and participation of local citizens. As this chart shows, other tribes have been inspired by the actions of those in Anbar and have volunteered to fight extremists as well. We have, in coordination with the Iraqi government’s National Reconciliation Committee, been engaging these tribes and groups of local citizens who want to oppose extremists and to contribute to local security. Some 20,000 such individuals are already being hired for the Iraqi Police, thousands of others are being assimilated into the Iraqi Army, and thousands more are vying for a spot in Iraq’s Security Forces.

### Iraqi Security Forces

As I noted earlier, Iraqi Security Forces have continued to grow, to develop their capabilities, and to shoulder more of the burden of providing security for their country. Despite concerns about sectarian influence, inadequate logistics and supporting institutions, and an insufficient number of qualified commissioned and non-commissioned officers, Iraqi units are engaged around the country.

As this chart shows, there are now nearly 140 Iraqi Army, National Police, and Special Operations Forces Battalions in the fight, with about 95 of those capable of taking the lead in operations, albeit with some coalition support. Beyond that, all of Iraq’s battalions have been heavily involved in combat operations that often result in the loss of leaders, soldiers, and equipment. These losses are among the shortcomings identified by operational readiness assessments, but we should not take from these assessments the impression that Iraqi forces are not in the fight and contributing. Indeed, despite their shortages, many Iraqi units across Iraq now operate with minimal coalition assistance.

As counterinsurgency operations require substantial numbers of boots on the ground, we are helping the Iraqis expand the size of their security forces. Currently, there are some 445,000 individuals on the payrolls of Iraq’s Interior and Defense Ministries. Based on recent decisions by Prime Minister Maliki, the number of Iraq’s security forces will grow further by the end of this year, possibly by as much as 40,000. Given the security challenges Iraq faces, we support this decision, and we will work with the two security ministries as they continue their efforts to expand their basic training capacity, leader development programs, logistical structures and elements, and various other institutional capabilities to support the substantial growth in Iraqi forces.

Significantly, in 2007, Iraq will, as in 2006, spend more on its security forces than it will receive in security assistance from the United States. In fact, Iraq is becoming one of the United States’ larger foreign military sales customers, committing some \$1.6 billion to FMS already, with the possibility of up to \$1.8 billion more being committed before the end of this year. And I

appreciate the attention that some members of Congress have recently given to speeding up the FMS process for Iraq.

To summarize, the security situation in Iraq is improving, and Iraqis elements are slowly taking on more of the responsibility for protecting their citizens. Innumerable challenges lie ahead; however, Coalition and Iraqi Security Forces have made progress toward achieving sustainable security. As a result, the United States will be in a position to reduce its forces in Iraq in the months ahead.

### Recommendations

Two weeks ago I provided recommendations for the way ahead in Iraq to the members of my chain of command and the Joint Chiefs of Staff. The essence of the approach I recommended is captured in its title: "Security While Transitioning: From Leading to Partnering to Overwatch." This approach seeks to build on the security improvements our troopers and our Iraqi counterparts have fought so hard to achieve in recent months. It reflects recognition of the importance of securing the population and the imperative of transitioning responsibilities to Iraqi institutions and Iraqi forces as quickly as possible, but without rushing to failure. It includes substantial support for the continuing development of Iraqi Security Forces. It also stresses the need to continue the counterinsurgency strategy that we have been employing, but with Iraqis gradually shouldering more of the load. And it highlights the importance of regional and global diplomatic approaches. Finally, in recognition of the fact that this war is not only being fought on the ground in Iraq but also in cyberspace, it also notes the need to contest the enemy's growing use of that important medium to spread extremism.

The recommendations I provided were informed by operational and strategic considerations. The operational considerations include recognition that:

- military aspects of the surge have achieved progress and generated momentum;
- Iraqi Security Forces have continued to grow and have slowly been shouldering more of the security burden in Iraq;
- a mission focus on either population security or transition alone will not be adequate to achieve our objectives;
- success against Al Qaeda-Iraq and Iranian-supported militia extremists requires conventional forces as well as special operations forces; and
- the security and local political situations will enable us to draw down the surge forces.

My recommendations also took into account a number of strategic considerations:

- political progress will take place only if sufficient security exists;
- long-term US ground force viability will benefit from force reductions as the surge runs its course;
- regional, global, and cyberspace initiatives are critical to success; and
- Iraqi leaders understandably want to assume greater sovereignty in their country, although, as they recently announced, they do desire continued presence of coalition forces in Iraq in 2008 under a new UN Security Council Resolution and, following

that, they want to negotiate a long term security agreement with the United States and other nations.

Based on these considerations, and having worked the battlefield geometry with Lieutenant General Ray Odierno to ensure that we retain and build on the gains for which our troopers have fought, I have recommended a drawdown of the surge forces from Iraq. In fact, later this month, the Marine Expeditionary Unit deployed as part of the surge will depart Iraq. Beyond that, if my recommendations are approved, that unit's departure will be followed by the withdrawal of a brigade combat team without replacement in mid-December and the further redeployment without replacement of four other brigade combat teams and the two surge Marine battalions in the first 7 months of 2008, until we reach the pre-surge level of 15 brigade combat teams by mid-July 2008.

I would also like to discuss the period beyond next summer. Force reductions will continue beyond the pre-surge levels of brigade combat teams that we will reach by mid-July 2008; however, in my professional judgment, it would be premature to make recommendations on the pace of such reductions at this time. In fact, our experience in Iraq has repeatedly shown that projecting too far into the future is not just difficult, it can be misleading and even hazardous. The events of the past six months underscore that point. When I testified in January, for example, no one would have dared to forecast that Anbar Province would have been transformed the way it has in the past 6 months. Nor would anyone have predicted that volunteers in one-time Al Qaeda strongholds like Ghazaliyah in western Baghdad or in Adamiya in eastern Baghdad would seek to join the fight against Al Qaeda. Nor would we have anticipated that a Shia-led government would accept significant numbers of Sunni volunteers into the ranks of the local police force in Abu Ghraib. Beyond that, on a less encouraging note, none of us earlier this year appreciated the extent of Iranian involvement in Iraq, something about which we and Iraq's leaders all now have greater concern.

In view of this, I do not believe it is reasonable to have an adequate appreciation for the pace of further reductions and mission adjustments beyond the summer of 2008 until about mid-March of next year. We will, no later than that time, consider factors similar to those on which I based the current recommendations, having by then, of course, a better feel for the security situation, the improvements in the capabilities of our Iraqi counterparts, and the enemy situation. I will then, as I did in developing the recommendations I have explained here today, also take into consideration the demands on our Nation's ground forces, although I believe that that consideration should once again inform, not drive, the recommendations I make.

This chart captures the recommendations I have described, showing the recommended reduction of brigade combat teams as the surge runs its course and illustrating the concept of our units adjusting their missions and transitioning responsibilities to Iraqis, as the situation and Iraqi capabilities permit. It also reflects the no-later-than date for recommendations on force adjustments beyond next summer and provides a possible approach we have considered for the future force structure and mission set in Iraq.

One may argue that the best way to speed the process in Iraq is to change the MNF-I mission from one that emphasizes population security, counter-terrorism, and transition, to one that is

strictly focused on transition and counter-terrorism. Making that change now would, in our view, be premature. We have learned before that there is a real danger in handing over tasks to the Iraqi Security Forces before their capacity and local conditions warrant. In fact, the drafters of the recently released National Intelligence Estimate on Iraq recognized this danger when they wrote, and I quote, “We assess that changing the mission of Coalition forces from a primarily counterinsurgency and stabilization role to a primary combat support role for Iraqi forces and counterterrorist operations to prevent AQI from establishing a safe haven would erode security gains achieved thus far.”

In describing the recommendations I have made, I should note again that, like Ambassador Crocker, I believe Iraq’s problems will require a long-term effort. There are no easy answers or quick solutions. And though we both believe this effort can succeed, it will take time. Our assessments underscore, in fact, the importance of recognizing that a premature drawdown of our forces would likely have devastating consequences.

That assessment is supported by the findings of a 16 August Defense Intelligence Agency report on the implications of a rapid withdrawal of US forces from Iraq. Summarizing it in an unclassified fashion, it concludes that a rapid withdrawal would result in the further release of the strong centrifugal forces in Iraq and produce a number of dangerous results, including a high risk of disintegration of the Iraqi Security Forces; rapid deterioration of local security initiatives; Al Qaeda-Iraq regaining lost ground and freedom of maneuver; a marked increase in violence and further ethno-sectarian displacement and refugee flows; alliances of convenience by Iraqi groups with internal and external forces to gain advantages over their rivals; and exacerbation of already challenging regional dynamics, especially with respect to Iran.

Lieutenant General Odierno and I share this assessment and believe that the best way to secure our national interests and avoid an unfavorable outcome in Iraq is to continue to focus our operations on securing the Iraqi people while targeting terrorist groups and militia extremists and, as quickly as conditions are met, transitioning security tasks to Iraqi elements.

### Closing Comments

Before closing, I want to thank you and your colleagues for your support of our men and women in uniform in Iraq. The Soldiers, Sailors, Airmen, Marines, and Coast Guardsmen with whom I’m honored to serve are the best equipped and, very likely, the most professional force in our nation’s history. Impressively, despite all that has been asked of them in recent years, they continue to raise their right hands and volunteer to stay in uniform. With three weeks to go in this fiscal year, in fact, the Army elements in Iraq, for example, have achieved well over 130% of the reenlistment goals in the initial term and careerist categories and nearly 115% in the mid-career category. All of us appreciate what you have done to ensure that these great troopers have had what they’ve needed to accomplish their mission, just as we appreciate what you have done to take care of their families, as they, too, have made significant sacrifices in recent years.

The advances you have underwritten in weapons systems and individual equipment; in munitions; in command, control, and communications systems; in intelligence, surveillance, and reconnaissance capabilities; in vehicles and counter-IED systems and programs; and in manned

and unmanned aircraft have proven invaluable in Iraq. The capabilities that you have funded most recently – especially the vehicles that will provide greater protection against improvised explosive devices – are also of enormous importance. Additionally, your funding of the Commander’s Emergency Response Program has given our leaders a critical tool with which to prosecute the counterinsurgency campaign. Finally, we appreciate as well your funding of our new detention programs and rule of law initiatives in Iraq.

In closing, it remains an enormous privilege to soldier again in Iraq with America’s new “Greatest Generation.” Our country’s men and women in uniform have done a magnificent job in the most complex and challenging environment imaginable. All Americans should be very proud of their sons and daughters serving in Iraq today.

Thank you very much.

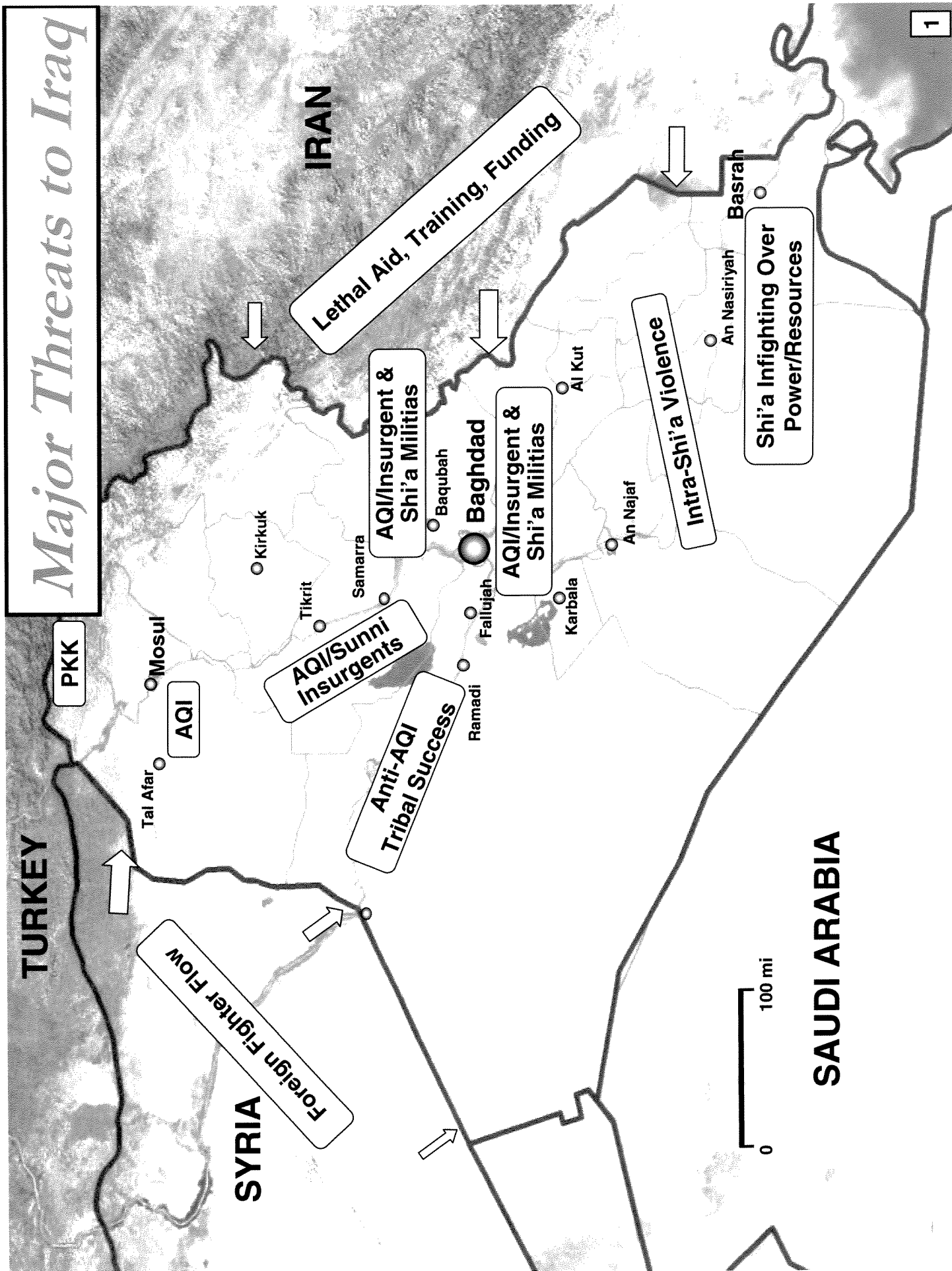


# ***Multi-National Force-Iraq***

***Charts to accompany the testimony of  
GEN David H. Petraeus***

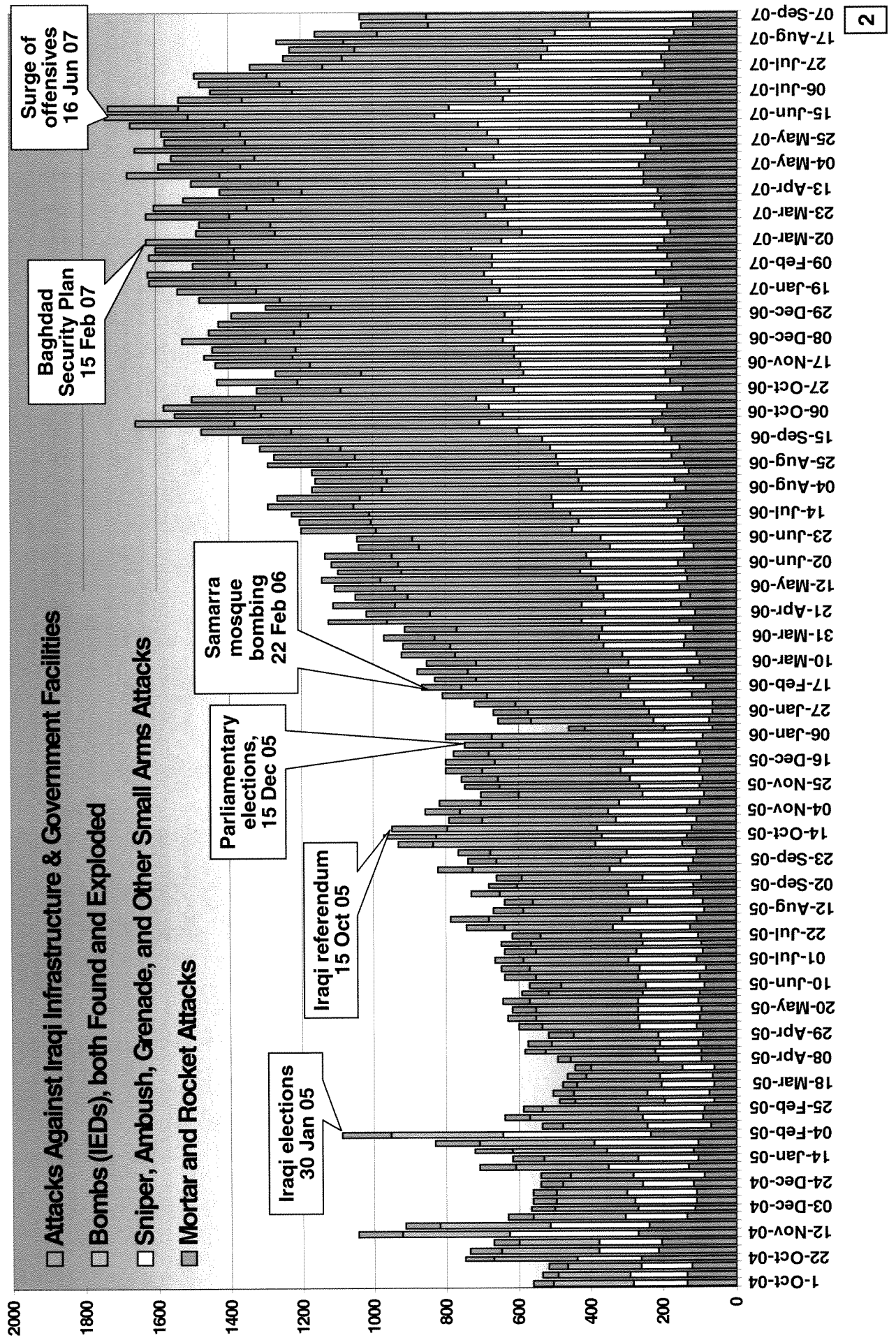
**10-11 September 2007**

# Major Threats to Iraq

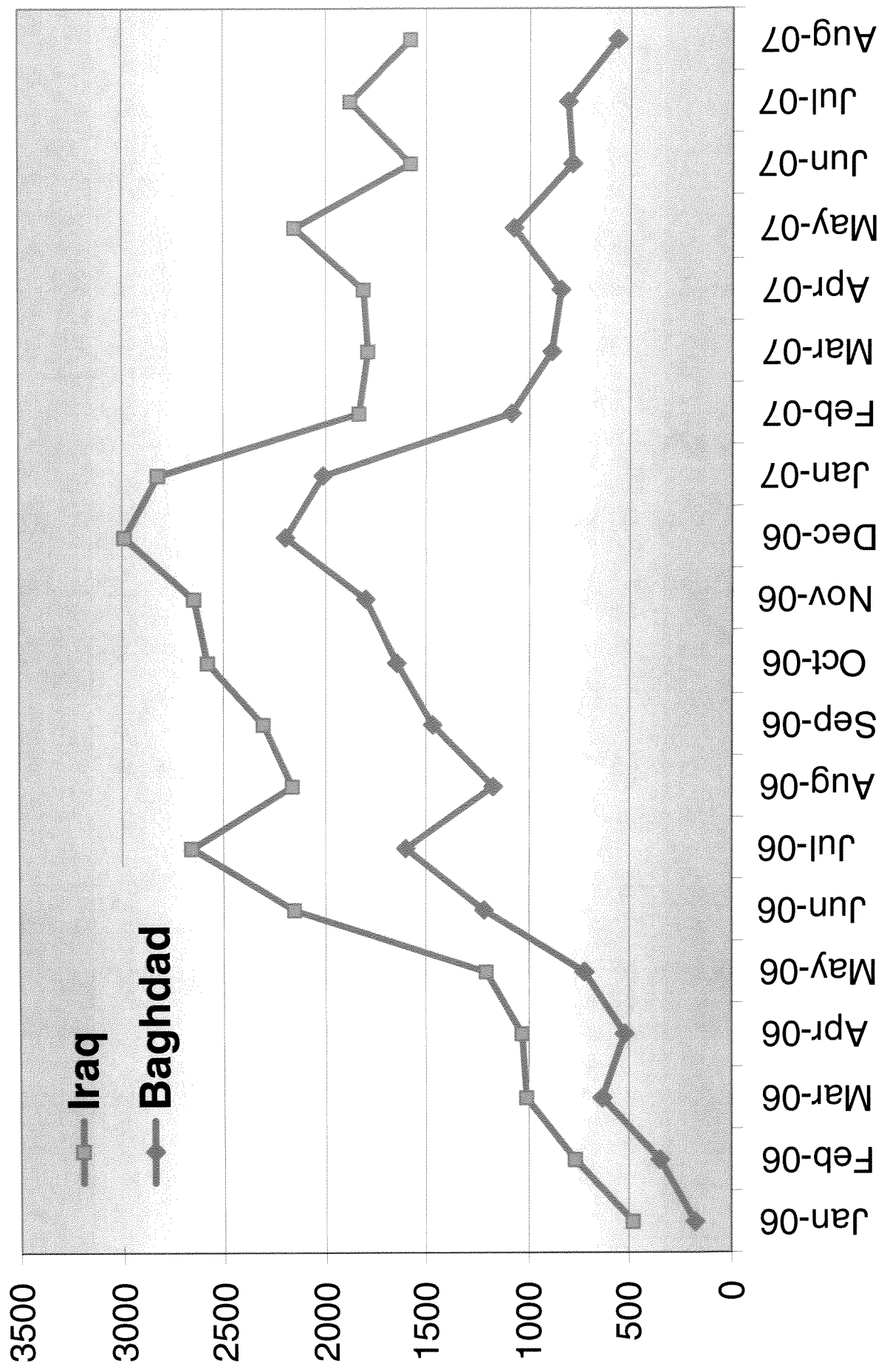


# Overall Weekly Iraq Attack Trends

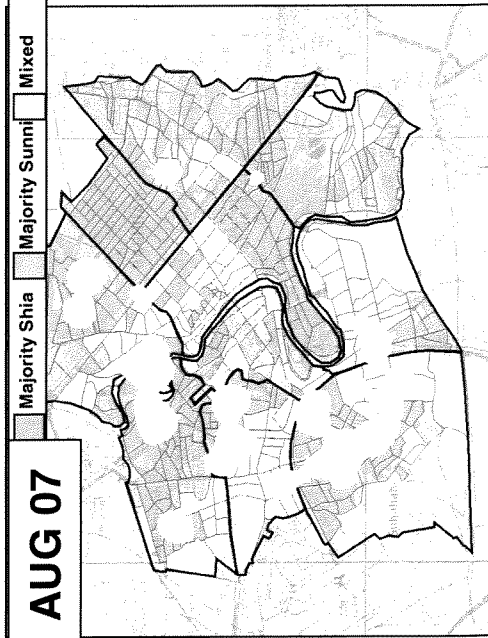
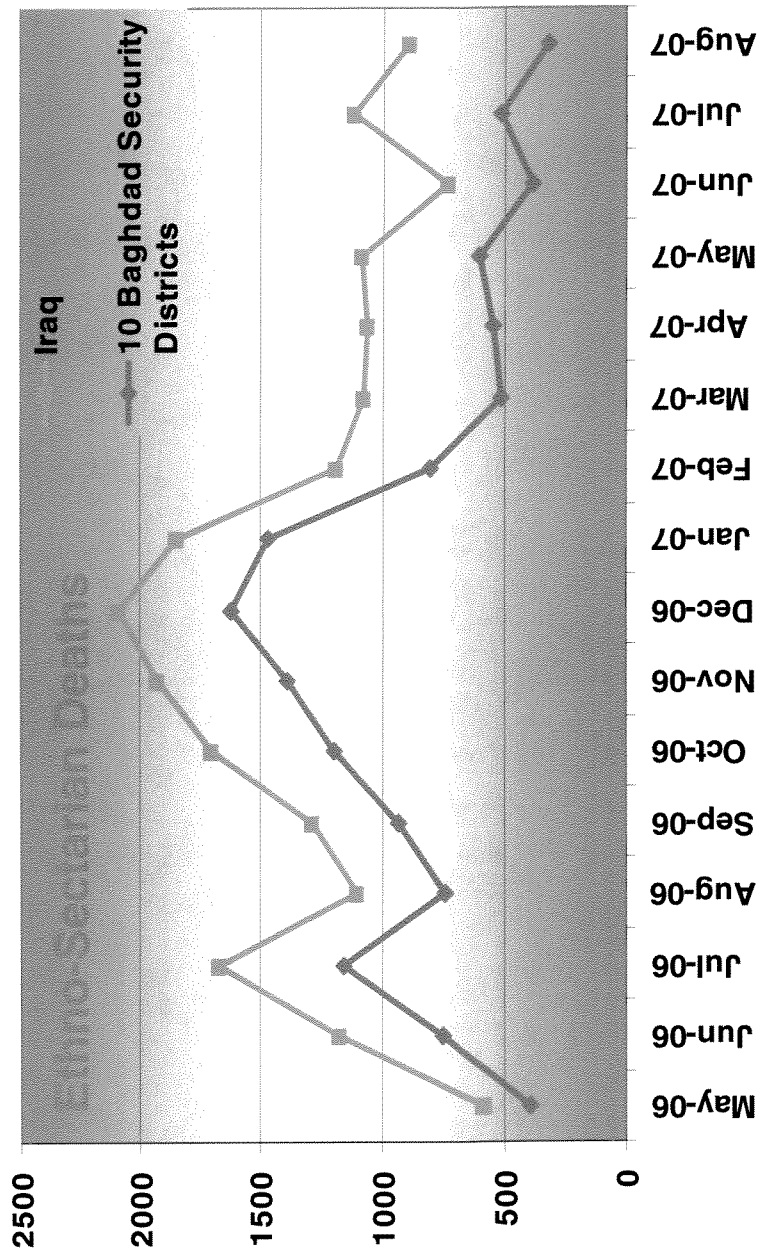
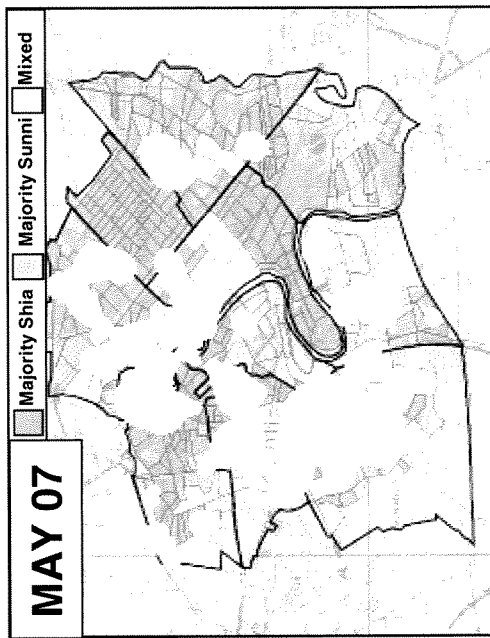
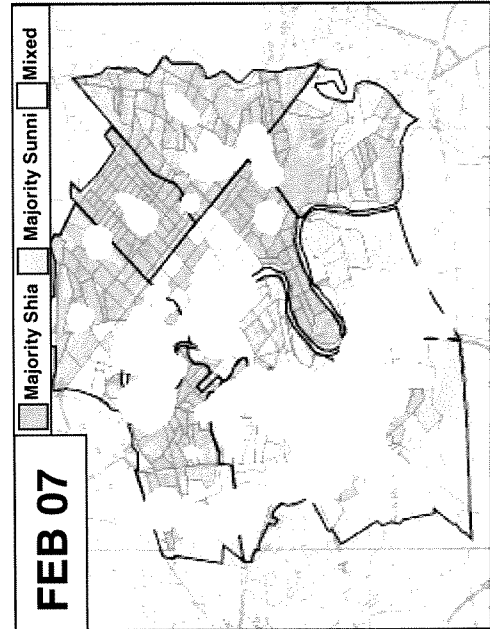
1 October 2004 – 7 September 2007



# Iraq Civilian Deaths

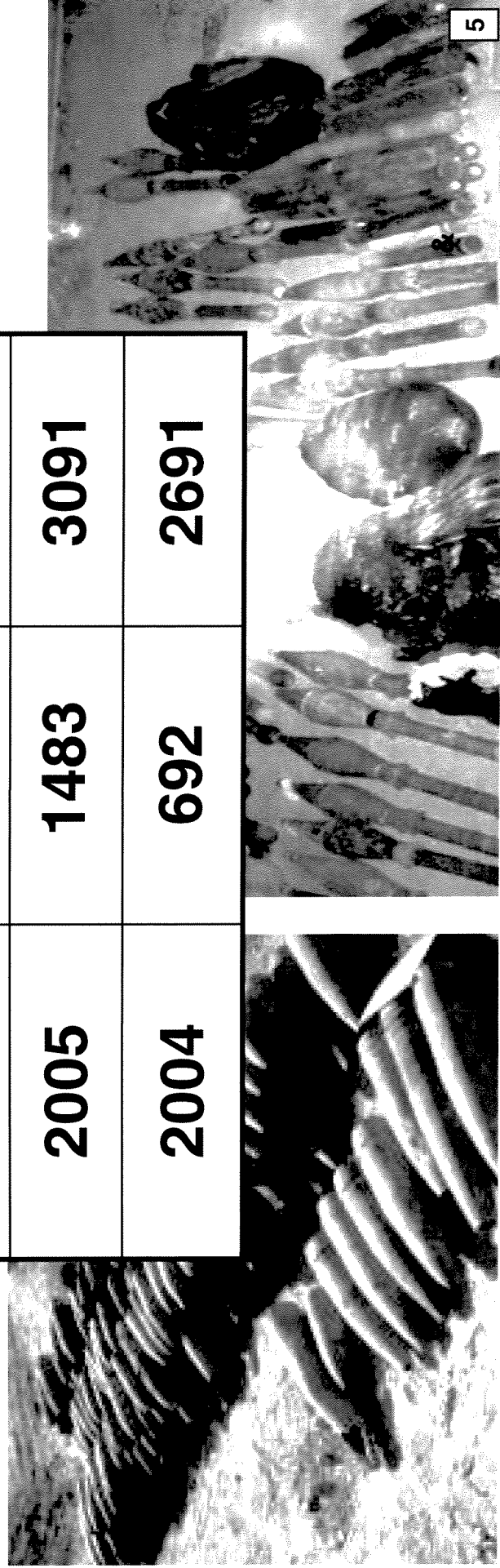
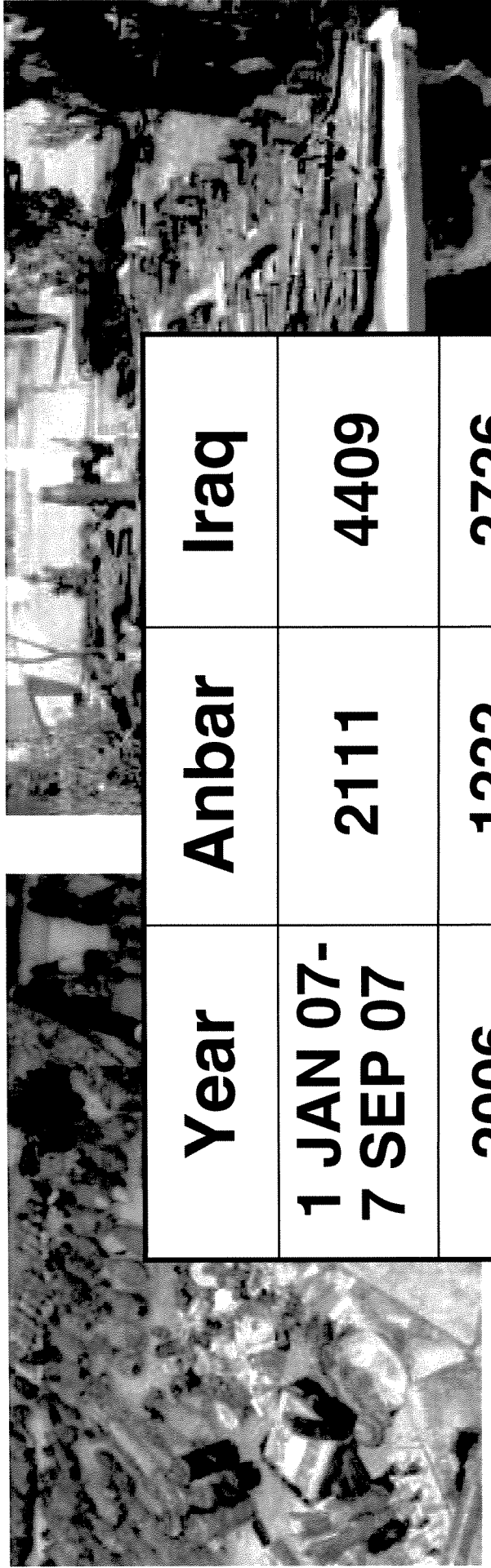


# Ethno-Sectarian Violence



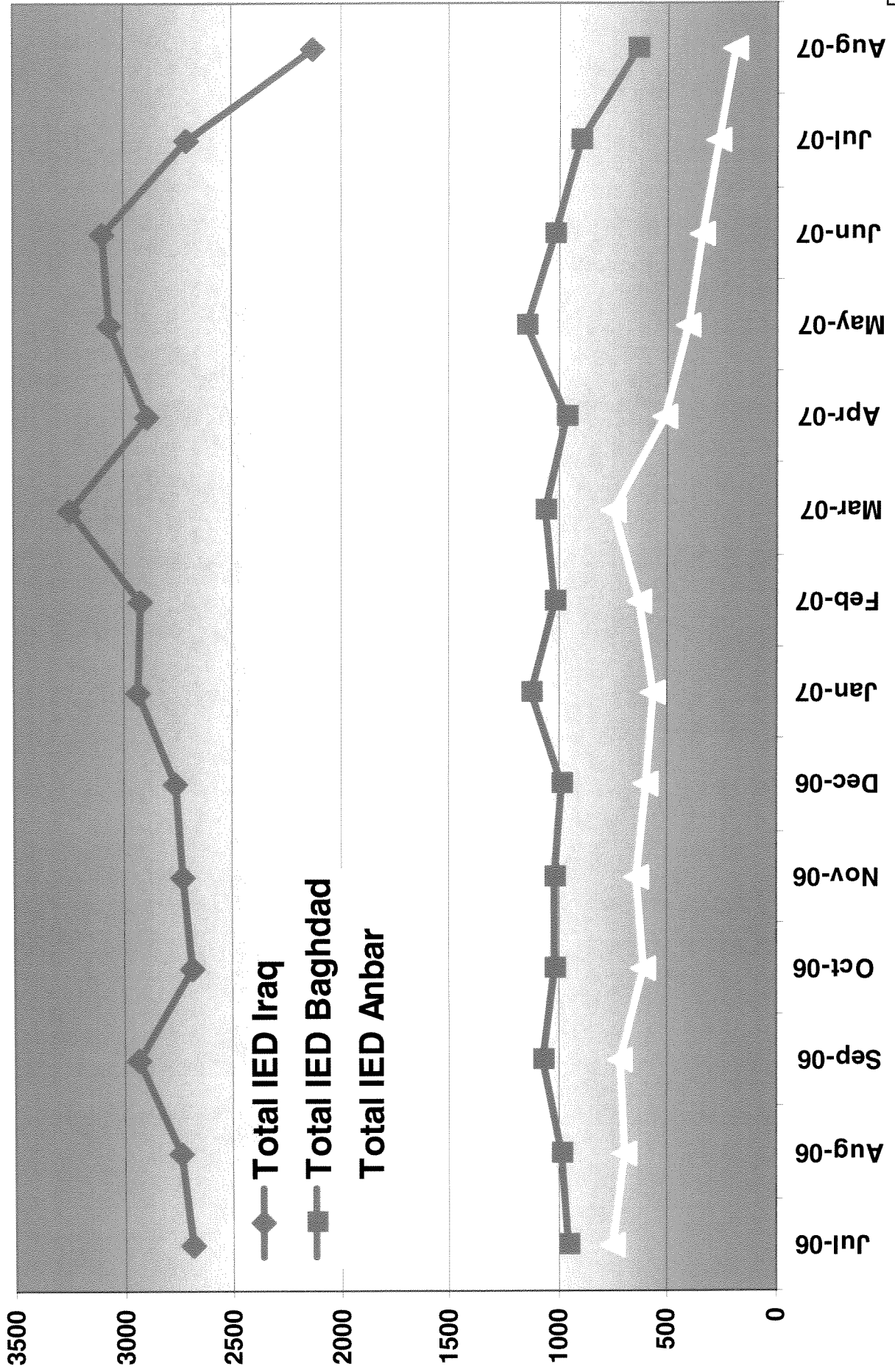
Density plots are of incidents where deaths occurred from any means that were clearly ethno-sectarian in motivation, to include car bombs

# *Caches Found & Cleared*



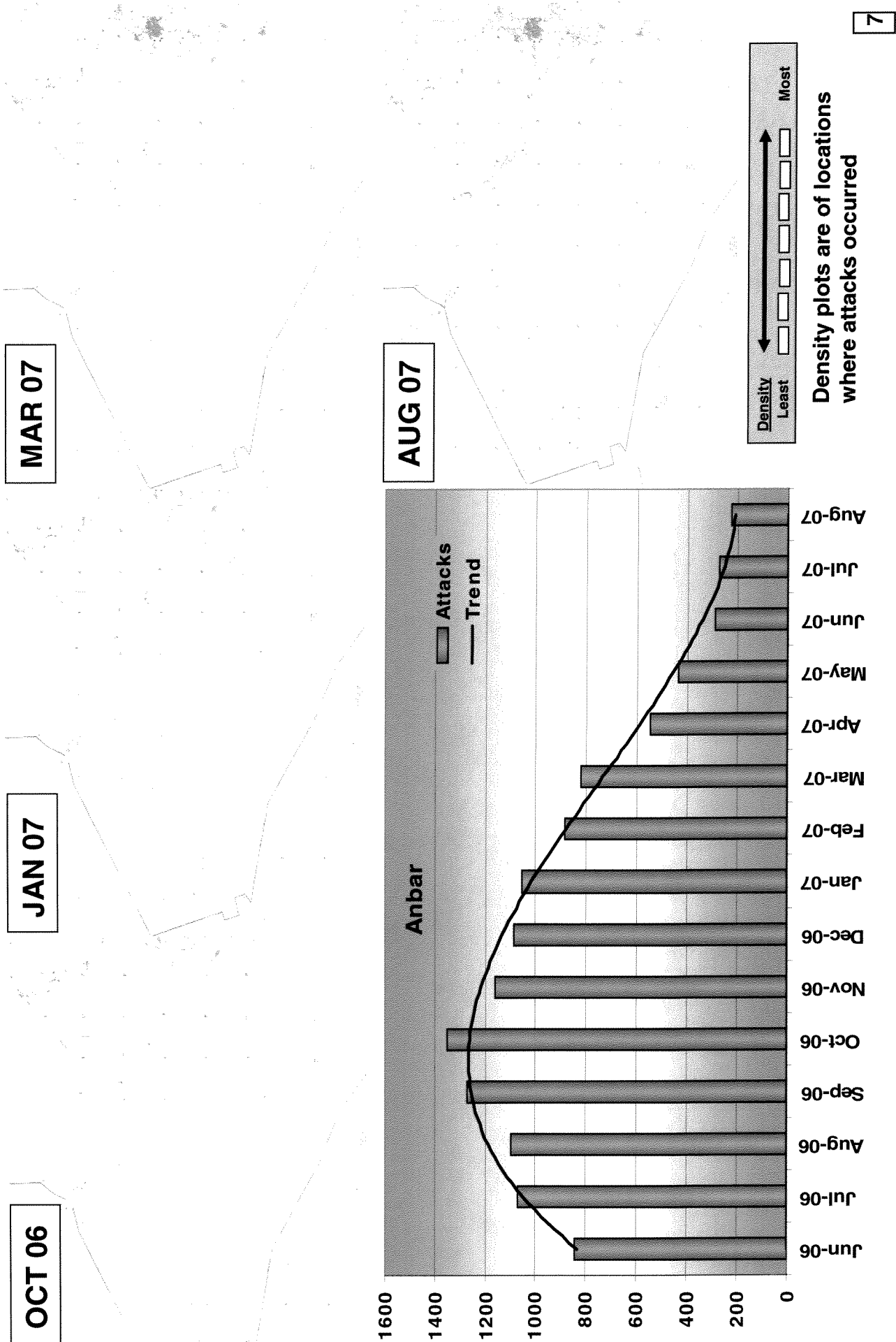
Year	Anbar	Iraq
1 JAN 07- 7 SEP 07	2111	4409
2006	1222	2726
2005	1483	3091
2004	692	2691

# Improvised Explosive Devices (IEDs)

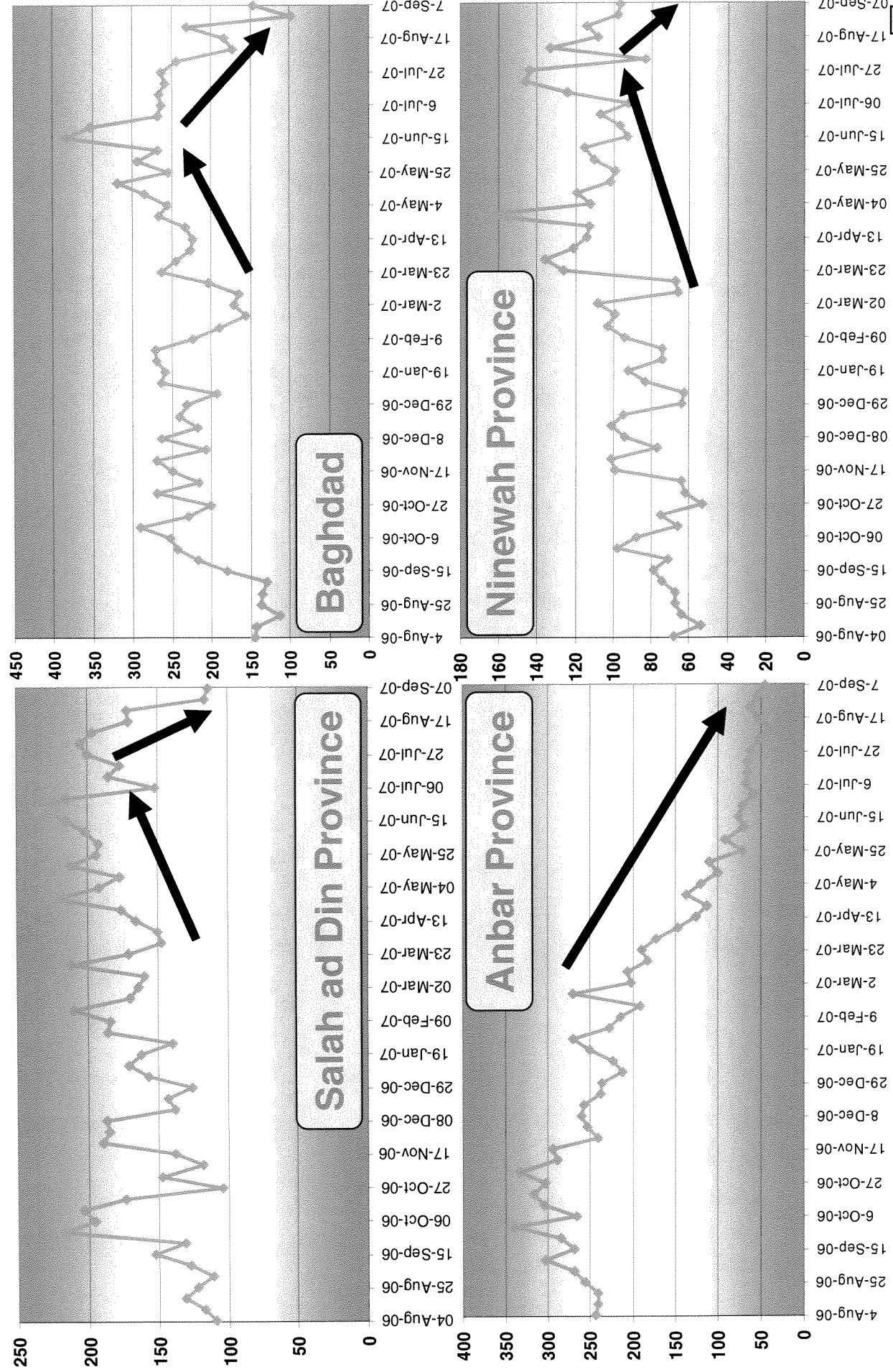


Total IEDs = IED explosions + IEDs found + IED hoaxes

# Anbar Attacks

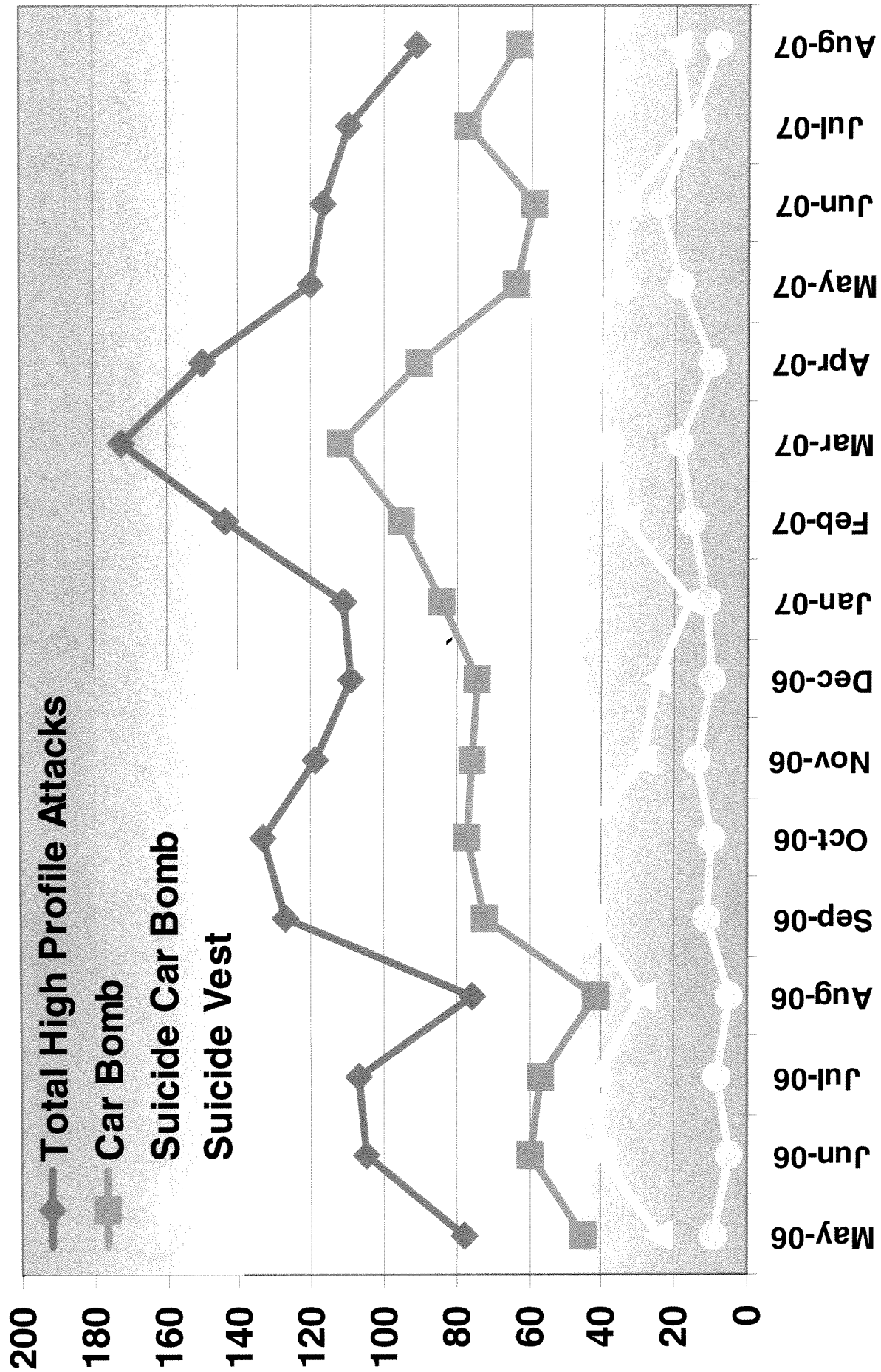


# Iraq Violence Trends

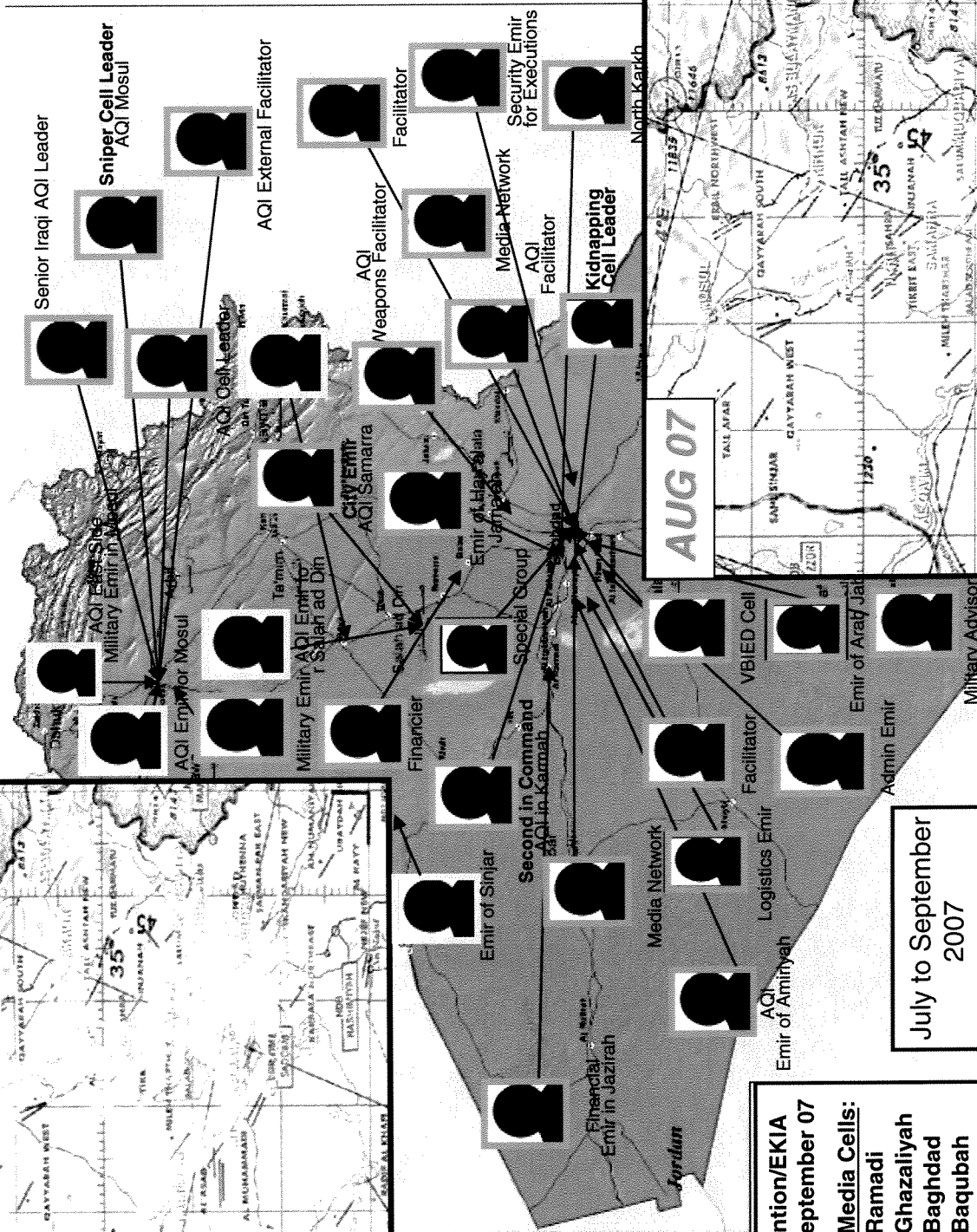


Level of Violence = Attacks + Murders Events

# High Profile Attacks



As of 31 AUG 07; High Profile = Car bombs + suicide car bombs + suicide vests

July to September  
2007

## Killed

## Captured

**Strategic Detention/EKIA**  
15 June to 5 September 07

**Emirs of:**

## Ramadi

**Ghazaliyah**

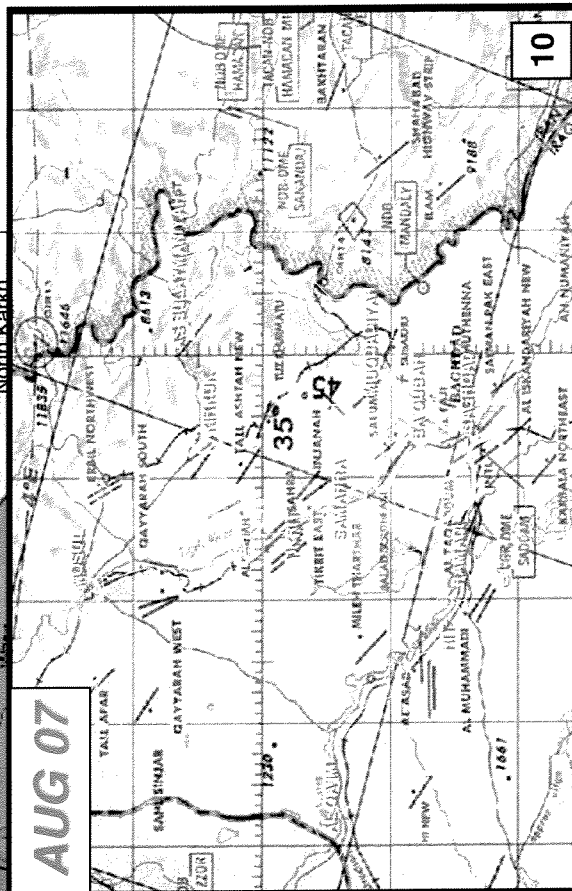
**Baqhdad**

## Baqubah

## Samarra

**Tarmiyah**

## ah



# Tribal Engagement

## Local Security Forces

29.8K Vetted

28.4K In hiring process for  
Iraqi Security Forces

## Security Volunteers

- ☐ Sunni
- ☐ Mixed
- ☐ Shi'a

Tal Afar

Mosul

Tikrit

Samarra

Baqubah

Baghdad

Ramadi

Falluja

Karbala

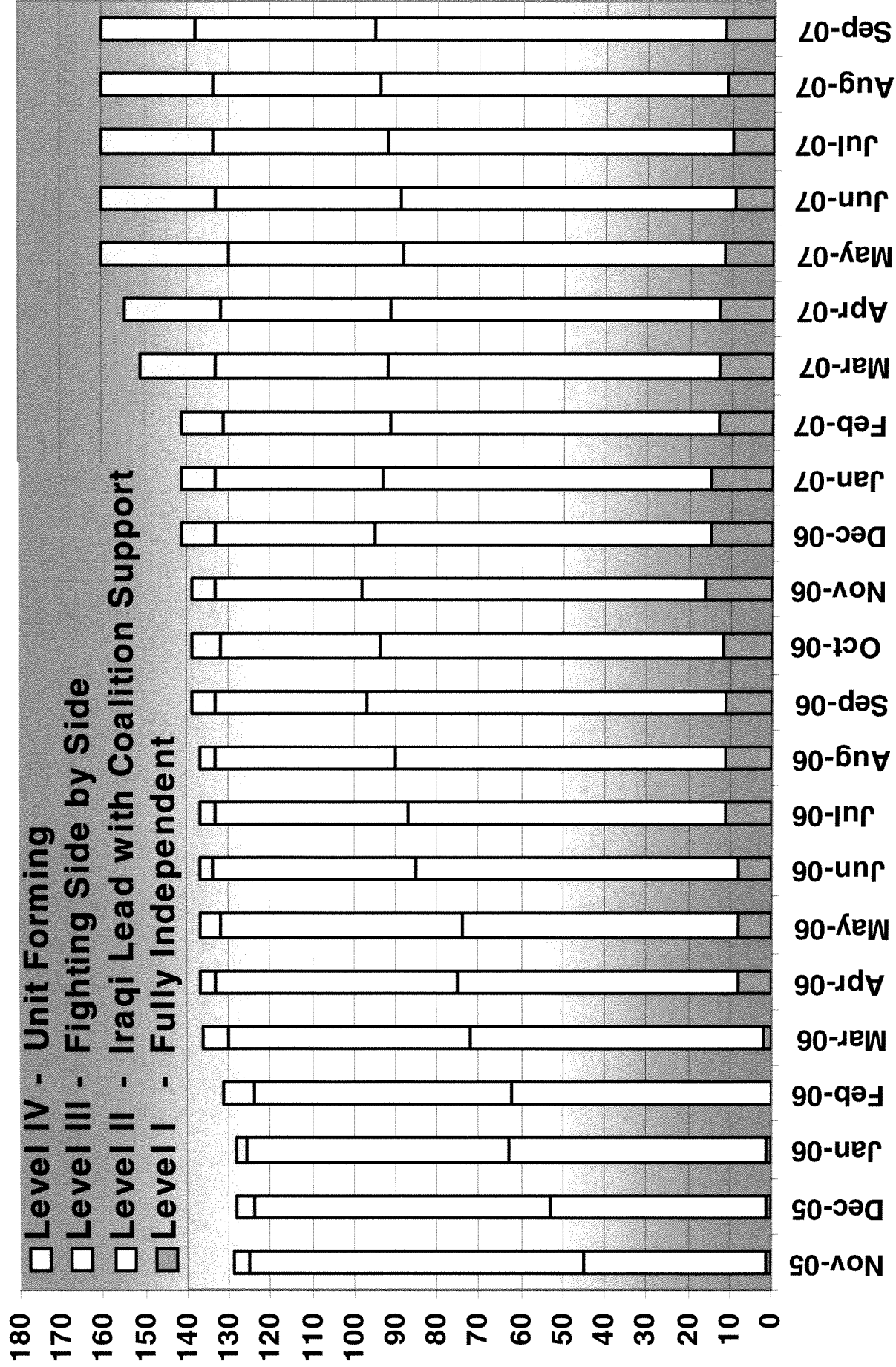
An Najaf

Al Kut

An Nasiriyah

Basra

# Iraqi Security Forces Capabilities



Iraqi Army Battalions, National Police Battalions, and Special Operating Force Battalions

# Recommended Force Reductions/Mission Shift

